

# A survey of technologies supporting design of a multimodal interactive robot for military communication

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## Abstract

**Purpose** – This paper presents a survey of research into interactive robotic systems for the purpose of identifying the state of the art capabilities as well as the extant gaps in this emerging field. Communication is multimodal. Multimodality is a representation of many modes chosen from rhetorical aspects for its communication potentials. The author seeks to define the available automation capabilities in communication using multimodalities that will support a proposed Interactive Robot System (IRS) as an AI mounted robotic platform to advance the speed and quality of military operational and tactical decision making.

**Design/methodology/approach** – This review will begin by presenting key developments in the robotic interaction field with the objective of identifying essential technological developments that set conditions for robotic platforms to function autonomously. After surveying the key aspects in Human Robot Interaction (HRI), Unmanned Autonomous System (UAS), visualization, Virtual Environment (VE) and prediction, the paper then proceeds to describe the gaps in the application areas that will require extension and integration to enable the prototyping of the IRS. A brief examination of other work in HRI-related fields concludes with a recapitulation of the IRS challenge that will set conditions for future success.

**Findings** – Using insights from a balanced cross section of sources from the government, academic, and commercial entities that contribute to HRI a multimodal IRS in military communication is introduced. Multimodal IRS (MIRS) in military communication has yet to be deployed.

**Research limitations/implications** – Multimodal robotic interface for the MIRS is an interdisciplinary endeavour. This is not realistic that one can comprehend all expert and related knowledge and skills to design and develop such multimodal interactive robotic interface. In this brief preliminary survey, the author has discussed extant AI, robotics, NLP, CV, VDM, and VE applications that is directly related to multimodal interaction. Each mode of this multimodal communication is an active research area. Multimodal human/military robot communication is the ultimate goal of this research.

**Practical implications** – A multimodal autonomous robot in military communication using speech, images, gestures, VST and VE has yet to be deployed. Autonomous multimodal communication is expected to open wider possibilities for all armed forces. Given the density of the land domain, the army is in a position to exploit the opportunities for human-machine teaming (HMT) exposure. Naval and air forces will adopt platform specific suites for specially selected operators to integrate with and leverage this emerging technology. The possession of a flexible communications means that readily adapts to virtual training will enhance planning and mission rehearsals tremendously.

**Social implications** – Interaction, perception, cognition and visualization based multimodal communication system is yet missing. Options to communicate, express and convey information in HMT setting with multiple options, suggestions and recommendations will certainly enhance military communication, strength, engagement, security, cognition, perception as well as the ability to act confidently for a successful mission.

**Originality/value** – The objective is to develop a multimodal autonomous interactive robot for military communications. This survey reports the state of the art, what exists and what is missing, what can be done and possibilities of extension that support the military in maintaining effective communication using



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multimodalities. There are some separate ongoing progresses, such as in machine-enabled speech, image recognition, tracking, visualizations for situational awareness, and virtual environments. At this time, there is no integrated approach for multimodal human robot interaction that proposes a flexible and agile communication. The report briefly introduces the research proposal about multimodal interactive robot in military communication.

**Keywords** Sensor fusion, Human-machine teaming (HMT), Multimodal communication, Multimodal interactive robotic system (MIRS), Spoken dialogue system (SDS), Visual story telling (VST), Virtual story telling (VST), Virtual personal assistant in VE

**Paper type** Literature review

## 1. Introduction

Communication is a fundamental human need. Human communication is interactive and multimodal. Effective communication in a time-critical setting is vital for all types of military operations (i.e. tactical engagements, Combat Search and Rescue (CSAR), Joint Intelligence Surveillance and Reconnaissance (JISR), Joint Terminal Attack Controller (JTAC) and Information Operations (IO)). Effective communication is dynamic, interactive and influential. There is a need to develop automation capabilities that will enable the military to successfully execute missions in complex environments while protecting the forces engaged in combat. This paper surveys the state of the art, identifying what exists and what are missing, what can be done and possibilities to extend existing technology. There is ongoing progress in machine-enabled speech, image recognition, visualizations for situational awareness and virtual environments (VEs). At this time, there is no integrated approach for multimodal human robot interaction (HRI) that achieves a flexible and agile communication.

Effective HRI requires an ability to understand, design and evaluate robotic systems with a goal to execute collaborative functions for or with humans. An essential element of the interaction process is a multimodal form of communication. Modalities include voice, gesture, image and graphics and data visualization. Challenges in these systems include maintaining communication, joint action and human-aware execution. They can be understood in terms of cognitive skills that they mandate (Bensalem *et al.*, 2008):

- (1) A joint goal, which has been previously established and agreed upon (typically through dialogue);
- (2) A physical environment, estimated through the robot's exteroceptive sensing capabilities and augmented by inferences drawn from previous observations;
- (3) A belief state that includes *a priori* common-sense knowledge and mental models of each of the agents involved (the robot and its human partners).

This paper presents developments in the robotic interaction field with the objective of identifying essential technological developments that set conditions for robotic platforms to function autonomously. This includes the key aspects in HRI, unmanned automatic system (UAS), visualization, VE and prediction. The paper then describes the aspects in the application areas that will require extension and integration to enable prototyping of the interactive robot system (IRS).

The rest of this paper is organized as follows: Section 2 defines key aspects of communication and military applications that benefit from its multimodal forms. Section 3 describes challenges and opportunities for this technology. Section 4 visits the critical threads in the literature to define the central parameters of multimodality and surveys the current state of the art. Section 5 adds some unifying thoughts to the survey and describes a multimodal interactive robotic systems (MIRS) concept. Finally, Section 6 presents some brief conclusions.

## 2. Multimodalities and communication

Multimodal communication comprises a combination of multiple heterogeneous sources for interactions. Effective communication often requires a meaningful representation of multiple data sources. Multimodal systems process information from different human communication channels at multiple levels of abstraction. These systems emphasize abstract levels of processing, explicit representations of the dialogue context, the user and investigations of the users' beliefs, intentions, attitudes, capabilities and preferences. These components are media, mode analysis and design, interaction and context management, user modelling and knowledge sources. Multi-media systems consist of various types of speech, graphical and direct manipulative interfaces with different modules (Jokinen and Raike, 2003). A conversational interactive interface leads to natural interactive systems. A multimodal signal stimulates the sensory system's response to the environment. A multimodal signal currently does not have a broadly established definition.

This section presents a brief discussion of multimodal human communication using various contextual metaphors and how this is used in human interactions. It clarifies how multimodal communication is a fundamental human capability and how this can be extended from human robot communication to military and robot communication.

Human interactions are multimodal. Each interaction uses multiple modes to listen, perceive, sense, gesture, visualize and taste. Such interactions are distinguished by modes, modalities and mediums. The modes are related to human sensory systems such as visual, auditory and tactile. The modality can be perceived as text, images and tactile sensation that are not easily represented internally by a machine. For a machine, the medium is an output device such as a screen, speaker or haptic technology, i.e. a feedback device. The medium can be an interactive modular system composed of independent elements. For instance, visualization is a type of media (Yau, 2013). Multimodal integration is a type of fusion engine. The meanings of input streams can vary with time, user and context (Rousseau *et al.*, 2006).

The images in Plates 1–12 show how humans communicate using different modes. Some humans are speaking, some are using hand gestures and others are using devices to portray visual descriptions. VR simulations and AR based heads-up displays assist in perceptions by portraying visual description and explanation through images, pictorial representations or clarifications of similar situations. Thus VR and AR devices are helpful for supporting

**Plate 1.**  
Communication using  
texts, gestures, images  
and devices



**Plate 2.**  
Face-to-face  
interactions using  
hands and digital  
media based pictorial  
representations

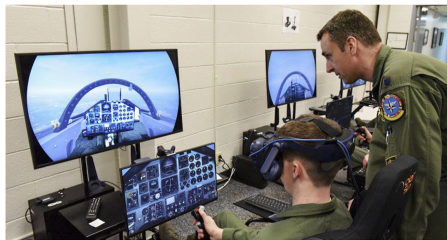


endurance and gaining confidence to embrace challenging situations. Furthermore, these devices are foreseen to improve performance in these situations via training or other forms of support for the human. This is accomplished with a user interacting with virtual media-based communications.

Interaction and contextual reflection 65% of the information in a human-human interaction is non-verbal e.g. mixed with visual cues and gestures that express human thoughts, reflect mood state, support a response, present compliments, furnish accents and thus, adjust verbal information (Birdwhistell, 1970). The images in Plates 1–3 show face-to-face discussion, use of text, hand gestures, images on the phone, computer and tablet. The



**Plate 3.**  
Communications using  
gaze and activities



**Plate 4.**  
Communication via  
visualization or media,  
e.g. display



**Plate 5.**  
Interpretation,  
perceptions and  
interactions using  
multiple  
communicative modes  
and medias



**Plate 6.**  
Communication,  
perceptions,  
descriptions,  
clarifications,  
presentation using  
text, pictorial  
representations, media  
and gestures

participants use, speech, gesture, facial expression and different bodily position which influence interpretations. When people engage in face to face interaction, they may discuss information of very different kinds, which in modern contexts may be displayed on a variety of electronic devices such as phones and computers. Interaction and communication using different modalities and modes can vary substantially based on contextual situations.

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### 2.1 Multimodal interaction, fusion and information processing

An appreciation of how humans perceive and transmit signals through different modalities is central for designing a multimodal system. A key to this process is the interpretation of multimodal signals with an appropriate level of fusion and fission of information across the

**Plate 7.**  
Military user with VR  
simulation and AR  
heads-up display



U.S. Army veteran interacts with the Bravemind VR therapy to aid his return to deployment. [1]  
PHOTO CREDIT: STEPHANIE DAVID KLEMMER FREDOL PHOTO COPYRIGHT © ALBERT 'SEAP' RIZZO

Phobias & Anxiety Disorders

**Plate 8.**  
Military  
communication:  
patrolling/surveillance  
or conducting training



**Plate 9.**  
Soldier wearing a  
Visual Augmentation  
System and related  
hand held device while  
training



**Plate 10.**  
Military personnel on  
operation, e.g. advance  
to contact using vision  
and posture



**Note(s):** Visualization based technology will be helpful in this case

modality channels. This is complicated by the differences in the character of the information in different input and output modality channels. These differences can be accentuated by how the messages are encoded into the modalities. Thus, for effective information presentation, there is a need for clarity in how different modalities complement and contradict each other. Furthermore, the means and methods for precise interpretation of information must be specified clearly.

Two pieces of information in different modalities complement each other if they contribute toward the same meaning but could not be easily interpreted in a meaningful way as standalone, unimodal information. Different types of modalities may complement each other. For instance, in a car collision, the common modalities (e.g. vision, audition and tactility) may convey the same message: we see one car approaching and hit a second car; we hear the sound of collision; we feel the vibration of impact. The perceptions of all these modalities convey the same information and complement each other. Alternatively, the information is redundant if the same complete information is conveyed in both modalities independently (e.g. the Tram 3B icon moving on the map at the point that denotes railway station along with simultaneous audio: “Tram 3B leaves railway station”). Information in different channels can also be contradictory. For example, a person could circle a location on a map and say simultaneously “this bus stop here”, but the circle does not contain any references to bus stops. Although this is not reasonable as a system output, it may occur as a user input (Norris, 2004).

The fusion of information refers to the analysis and integration of input information from different modalities into a composite, meaningful form. The fission of information is the opposite process, which occurs during information generation. Fission refers to the division of information into appropriate modalities with a goal of generating an effective presentation. Fusion exists in three levels: lexical, syntactic and semantic. Lexical fusion happens on the hardware and software levels, for example, when selecting objects with the shift key down. Syntactic fusion involves combining data to form a syntactically complete command. Finally, semantic fusion concerns the detailed functionality of the interface and defines the meanings of the actions. Merging various inputs for information processing through fusion and combination of output media for the purpose of presentation through fission are fundamental



**Plate 11.**  
Military deployed on  
field training, e.g. a  
temperate climate

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**Plate 12.**  
Military deployed on  
field training, e.g. an  
arid climate

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concepts in multimodal communication. Concepts related to fusion and fission in multimodal and multimedia-based interaction are discussed in [Maragos et al. \(2008\)](#).

In multimodal language communication and interaction, the concepts and meanings are extracted from different modalities. For instance, speech, touch and vision can be combined to produce a single meaning or a representation for the user's action. Multimodal fusion can be applied to build a coherent and consistent interpretation of different modal sources. Fusion-based procedures may select the best candidate interpretation using models based on weights and combinations of information ([Oviatt et al., 2017](#)). For example semantic fusion typically takes place in two levels: First, inputs are combined into events that are processed by the higher-level interpreter, which uses its knowledge about users' intention. Second, the context is analyzed to finalize and disambiguate the input ([Ida, 2020](#)).

HRI needs to take place in dynamic, partially unknown environments that are not currently designed for robots. The robot needs to understand and interpret a variety of situations with rich semantics, and conduct physical interactions with humans that require fine, low-latency yet socially acceptable control strategies. This requires natural and multimodal communication, which mandates common-sense knowledge and the representation of possibly divergent mental models. Such HRI is needed in situations, where human control is either infeasible or not cost-effective ([Canal et al., 2020](#); [Rachid, 2022](#); [Bonarini, 2020](#)).

### 3. Challenges and potential impact

IRS that support effective military training in a VE has the potential to revolutionize military training. An IRS would enable the HMT to train together from the earliest phase of military training. The advantages that come from candidate selection, training intensity and mission rehearsal will be augmented through the use of the IRS, translating into a critical advantage over future adversaries. Different modes and medium are required to achieve this vision with confidence. Thus, the IRS can be seen as a valuable companion in many military activities. The multimodal autonomous communication capability of the IRS will enable the HMT to rapidly adapt to a dynamic combat environment and improve mission performance. The multimodal combination of audio, visual, graphics, imagery and digital modelling will help military forces maintain effective communications and face difficult situations. The additional advantage is the ability to conduct rapid mission rehearsals that will lead to improved outcomes and preservation of friendly forces.

Western military forces face a number of near term challenges arising from the imminent fielding of platforms enabled by AI capabilities. The autonomous mobile robot holds the prospect of assuming many of the difficult and dangerous tasks currently executed by humans. The integration of a protected platform with AI, which is then paired with selected human teams, will result in a potent and flexible capability.

Military commanders at all levels confront uncertainties during the execution of their missions. Potential adversaries are developing AI-infused systems and are now on the verge of fielding machines capable of conducting semi-independent offensive actions, selecting targets and taking lethal action without direct human input. The Canadian Armed Forces (CAF) has no experience in facing such capabilities and is now exposed to technical surprise on current operations. The CAF lacks an ability to explore the implications of these developments and consider near-term steps to mitigate the adversarial advantage.

Military commanders must comprehend and act across all domains of conflict with increasing amounts of data. Accordingly, the speed, complexity and breadth of operations are increasing at every level (strategic, operational and tactical). One of the major challenges is the ability to act quickly. This is directly related to the capability to process the flood of information. The data load of military operations requires that the commander's staff be able

to process and analyze at a pace sufficient to provide situational awareness to a commander. However, perfection cannot be the objective: a commander's intuition remains vital to time-compressed decision making with uncertain battle field conditions. The most difficult challenge lies in integration of the aforementioned multimodal features to provide value to a military decision.

For example, someone who cannot speak the local language will often find it difficult to be deployed alone. This barrier, however, can be alleviated by speech-to-speech (s2s) translation systems. Translation systems can also be integrated into communication tools to allow people who speak different languages to freely communicate with each other remotely. Speech technology can also help in unified messaging systems: a speech transcription subsystem can be used to convert voice messages left by a caller into text. The transcribed text can then be easily sent to the recipient through emails, instant messaging or short message, and conveniently consumed by the recipient. ASR technology can be used to dictate short messages to reduce the effort needed for the users to send short messages to others. Speech recognition technology can also be used to recognize and index speeches and lectures so that users can easily find the information that is critical to them (Yu *et al.*, 2015).

A robot that is capable of navigating in unknown urban environments without the use of GPS data or prior map knowledge will be very useful in military activities. Such a robot would retrieve directional information by interacting with humans or the military. It would store acquired information into a topological route graph, which can be used to give feedback to the human and to navigate in unknown environments as discussed in the Autonomous City Explorer (ACE) project (Bauer *et al.*, 2009).

Logistics operations, recording and playback fidelity, record and book keeping and other CAF operations would benefit from multimodal robots as described in Pandya *et al.* (2019) and Mikušová *et al.* (2017).

The Future Operating Environment (FOE) will be dominated by automated systems. These systems will support decision making informed by multimodal military communications. The capabilities of an IRS are a vital step towards ensuring that a future military entity remains a relevant force.

A multimodal interface for an IRS has the advantage of increased usability and accessibility for both the military and civilians. For example, visually impaired users can rely on the voice modality and hearing-impaired users can rely on the visual modality. Haptic input/output with visualization mechanisms would expand usability even further. The communication between a human and a robot is currently an area with many new developments, theories and technologies. Emerging technologies such as AR, VR and XR can support the communication between human and the machine, providing immersive experiences for visualization and analysis. Other human machine interaction (HMI) issues related to skills such as dexterity and flexibility may enhance total productivity and decrease the human ergonomic stress. Existing solutions on VR and AR technologies in HRI and HRC are reviewed in Dianatfar *et al.* (2021).

#### 4. Multimodal interactions and communications

Multimodality enables choosing any input or output modality or combination of input and output modalities for optimizing interaction. Modality is a particular way of representing input or output information in some physical medium, such as something touchable, olfaction or gustation. Multimodality is inherent in the normal interaction of living organisms, often expressed in poses or other actions.

Multimodal features may determine the extent to which an interface supports its users in completing their tasks efficiently, effectively and satisfactorily. The weaknesses of one modality can be offset by the strengths of another in a multimodal interface. Accessibility can



determine how easy it is for people to interact with the robot. Multimodal interfaces can provide increased accessibility, demonstrated by capabilities such as: interpreting postures, gestures and facial expressions; filtering ambiguous and incomprehensible spoken utterances, which may include simultaneous pointing gestures or other shared visual input (Jokinen and Raike, 2003).

Common sensory modalities involved in multimodal systems are shown in Table 1. A human multimodal interaction involves a coordination of different anatomy and physiology of sensory organs (Schomaker, 1995).

The following subsections summarize literature related to multimodality in these critical technological areas: HMI, autonomous unmanned systems, visualization, emergent technology, spatial prediction, natural language processing (NLP) and computer vision (CV).

#### 4.1 Multimodal human machine interaction

The media are the material objects available for presenting and saving information. The physical components can be computer input and output devices such as a screen, microphone, speaker or pointer. The modes are the human mechanisms of perception engaged in processing the incoming information that uses modalities such as vision, audition, olfactory and touch. Modes, media and multimodal communication can be visualized in Figure 1. Multimodality is a means to express one’s purpose in an effective way. Thus, more than one mode such as written and spoken text, moving or still images, sound or music are coordinated to communicate in a sensible and meaningful manner. In addition, modes could include gestures such as movement, facial expressions, body language, body position, physical arrangement and proximity. Contextual aspects of multimodal communication is described in Lutkewitte (2014), Fillmore (n.d) (unknown).

Bolt (1980) focused on spatial tasks and map-based applications to integrate speech and gestures in the MIT media lab. This is one of the early endeavours to design multimodal interfaces.

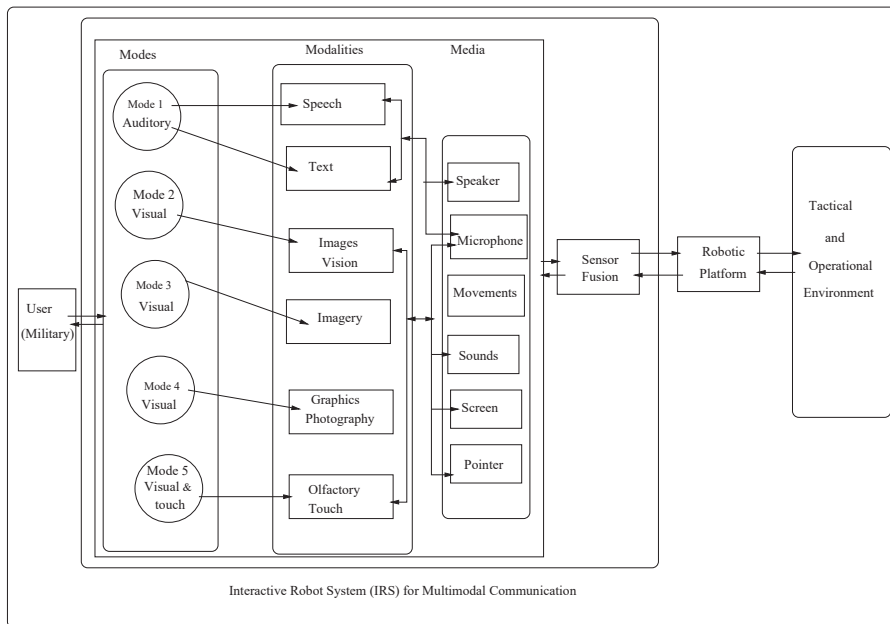
Different control modalities, such as gestures, CV and teleoperation, are included in some multimodal systems. The increasing use of robotic assets in the military, industry and even household settings has led to a growing need for developing more intuitive and flexible human–robot interfaces. An interactive simulation to facilitate the objective evaluation of multimodal HRIs was presented in Whitney (2019).

HMI can be based on combined modes of sight, hearing, touch, learning, and perception of the world. The machine may be a desktop computer or mobile phone or any emergent virtual or augmented reality related device or component of Internet of Things (IoT). The interaction can be defined as a successful exchange of data or instruction between the user and the technology. An extensive survey of verbal and non-verbal aspects of HRI, its historical introduction and human–robot system desiderata is provided in Mavridis (2015). This successful interaction can be based on the balance of the needs of a user and the technical capabilities of the machine. A multimodal interaction may be supported by a

Sensory perception	Sense organ	Modality
Sense of sight	Eyes	Visual
Sense of hearing	Ears	Auditory
Sense of touch	Skin	Tactile
Sense of balance	Organ of equilibrium	Vestibular

**Note(s):** Modified version of Schomaker (1995)

**Table 1.** Sensory modalities that are central to human systems



**Figure 1.**  
Modes, modalities and  
media for multimodal  
communication

combination of images, audio, video, text or streaming audio-video in live or off-line form. A meaningful representation of such sources is essential to form a multimodal interactive system (Turk, 2014). Baltrusaitis *et al.* (2019) develops taxonomy of multimodal machine learning for building models, processing and relating information from multiple modalities. It includes a detailed analysis of early and late fusion, as well as a categorization of broader challenges in multimodal machine learning, namely: representation, translation, alignment, fusion, and co-learning.

Human machine communication (HMC) attempts to incorporate the nature of human perception and environmental interaction, as well as a basic reasoning capacity to draw inferences between acquired knowledge and its application (Brouwer and Harrington, 1994). This paper proposes a list of core challenges in communication and coordination, modelling approaches and infrastructure for optimized service. It recommends four main topics for the design of educational systems – a. Fundamental human perception and reasoning; b. New media: enabling technologies; c. Artificial Intelligence; d. Advanced applications.

Bauer *et al.* (2009) presented a HMC system in an ACE project. This system enabled the human to ask the robot for directions and stored the retrieved route information as internal knowledge. The system incorporated theories from linguistics in a mixed-modalities communication interface.

The idea of teaming between human and machine provides another perspective (O'Malley, 2007). Important features include the architecture of the team and task allocation. Supporting issues include automated systems perspectives such as theoretical analyses, laboratory experiments, modelling, simulation, field studies, ability, fatigue, neurological analysis, accident, mental workload, situation awareness, complacency and skill degradation.

A multimodal Scale Invariant Feature Transform (SIFT) algorithm was used to recognize hand-written characters in [Guruprasad and Majumdar \(2016\)](#). The shapes of the hands and characteristics such as formats, orientation, rotation, image formation, scale and illumination were used to support multimodal recognition of handwriting.

The modes of interactions can be seen as an input, perception, output or control in the communication loop. Touch-based multimodal systems, interactions and applications using mainly a single mode are considered in [Bezold and Minker \(2011\)](#), [Minker et al. \(2006\)](#), [Maragos et al. \(2008\)](#) and [Toselli et al. \(2011\)](#). Communication based on automated capabilities was not considered in these studies.

A study on the human as a model operator and the behaviours in tactical environments such as in airborne early warning and control (AEW and C) was provided in [Qureshi \(1998\)](#), [Bourdon and Kaluzny \(2013\)](#), [Caron and Kaluzny \(2015\)](#) and [Davy and Demczuk \(2003\)](#). The main objective was to explore the cognitive aspects of human behaviour and possibilities of autonomous decision making capabilities applying control theory, task networks, human factors, and knowledge-based approaches. Yet all these studies did not intend to assist the fundamental multimodal HRI communication.

[Huang et al. \(2019\)](#) examined multimodal perception interfaces through a combination of human visual and haptic perception in guiding a coarse motor task. This was in the context of coordinating with the fine local motion performed by a robotic module running high-speed actuators and high-speed sensory feedback. The small sample population demonstrated reduced errors when human visual and haptic perceptions were combined with HRI. The results also suggested haptic perception as a major contributor to effective human response in fast motion situations. The focus was the integration of multimodal perception in human subjects for simple motor tasks. This type of multimodal integration may have a narrow application in specialized military robotic applications, such as ordnance disposal, but would not be as useful for dynamic tactical tasks.

[Unhelkar et al. \(2020\)](#) developed models for Bidirectional Communication Decision-Making in Sequential Human-Robot Collaborative (HRC) tasks. The objective of the models and algorithms was to leverage effective communication to attain high quality HMT. This research focused on sequential collaborative tasks that had well-known task dynamics and specified objectives. The results of the experiment showed that the CommPlan framework enabled robots to decide if, when, and what to communicate while performing sequential tasks in coordination with humans. The human robot team was able to communicate efficiently while collaboratively performing a well-defined task in a limited physical space (e.g. a meal preparation task). This verbal communication mode shows some promise to enhance routine interactions for repeated tasks; however, it would be of very limited value in the uncertain, complex environment of military operations.

[Scimeca et al. \(2020\)](#) proposed a future workshop to explore the use of AI, robotic simulation, haptic sensing and soft robotics technologies to improve the quality and efficiency of medical practitioner training as well as the creation of new tools for diagnosis and healthcare through the interaction of humans and robots in medical settings. It is likely that this workshop will push the frontiers of the use of soft robotics for training and diagnosis as well as improving the understanding of robotic simulation and visualization in the medical field. It remains to be seen if any insights concerning the robotic doctor/patient interaction from this workshop could be applicable for scenarios based on military capabilities, missions and activities.

[Schoenherr et al. \(2020\)](#) demonstrates an algorithm that produces adversarial examples against hybrid Automatic Speech Recognition (ASR) systems. In these examples, the systems remain robust in an over-the-air attack that is not adapted to the specific environment. The practical experiment revealed ASR systems are vulnerable in varying room setups. This included situations with no direct line-of-sight between speaker and microphone and utilized

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psycho-acoustic methods to hide changes of the original audio signal below the human audio threshold. An inconspicuous adversarial example can be used by an attacker for any target transcription to disrupt or misdirect an ASR function. A single modality robot communication capability would therefore be readily vulnerable in a contested environment.

Saad *et al.* (2020) employed an iterative Interaction-Design (ID) method in multimodal robot communication for a reception robot. A small sample population of industrial design students was engaged to use the ID tool to design person- and task-oriented communications. This method produced distinctive task- and person-oriented dialogue styles, to predict multimodal communicative behaviours. The resulting task-oriented style was a more formal, shorter and less chatty communication format. The study reinforces the value of extant verbal communication discipline well-established in demanding work conditions, such as Air Traffic Control and Fire Control nets.

Ermacora *et al.* (2015) explored the spectrum of autonomous operations by unmanned aerial vehicle (UAV) within a smart city scenario, where a cloud robotics service employed small UAVs for monitoring and surveillance in support of emergency management. The UAV operation was mediated by a cloud robotics platform according to the selected Level of Autonomy (LOA). Three levels of autonomy (tele-operation, mixed-initiative and full autonomy) for unmanned systems were employed to analyze the sliding autonomy approach in multiple scenarios. The rapid LOA selection required to adapt to dynamic combat conditions was totally dependent on a protected and pervasive cloud network and was therefore, unsuited to many military operations. It would support various routine base operations and allow a reduction in dedicated personnel for some functions.

Cockburn *et al.* (2013) presented an interactive simulation environment to facilitate the evaluation of multimodal HRI. Gesture controls and audio commands were used to direct robots in a simulation environment, challenging the robotic agents to interpret and respond correctly. The exploration of HRI control modalities of gestures, CV and teleoperation with the simulated environment is a valuable foundation for HMT experiments, and is adaptable to other interactive military training applications. This simulated research environment may support exploration of robot behaviour selection once it is validated in real world applications.

A prototype of a cognitive robotic assistant is designed to act proactively, adaptively and interactively with respect to humans with slight walking and cognitive difficulties in Fotinea *et al.* (2016). The key future capability is a multimodal action recognition system required to monitor, analyze and accurately predict user actions. This will require refined modelling of HRC in order to achieve an effective HRI. This research is not applicable to military capabilities such as injury recovery and rehabilitation due to the highly specialized nature of the assistive robotic application.

Lucignano *et al.* (2013) presented a Partially Observable Markov Decision Process (POMDP)-based dialogue system for bi-modal HRI to generate a robust interaction. An integrated architecture mounted on a mobile robot platform was tested in an HRI scenario to assess the overall performance with respect to baseline controllers. Voice and gesture based bi-modal interaction was more successful than gesture only, where the speech and gesture are naturally complementary. The simplicity of the case study was useful for exploring the probabilistic model. An extension of the concept is required to yield any relevance for military application.

Anjomshoae *et al.* (2019) conducted a Systematic Literature Review (SLR) of goal-driven eXplainable Artificial Intelligence (XAI) to support transparency and trustworthiness for AI applications. It focused on the explanation of robot behaviours to human users, and suggests that some focus on inter-robot explanation is required. It underscores several known shortfalls: AI-enabled military applications will require full transparency at all stages, so explainable and due diligence methods are built into all decision processes. The main findings

include (1) a considerable portion of existing research only focuses on conceptual studies or lack evaluations or tackle relatively simple scenarios; (2) almost all deal with robots/agents explaining their behaviours to the human users and very few address inter-robot (inter-agent) explainability. Finally, (3) while providing explanations to non-expert users has been outlined as a necessity, only few research studies address the issues of personalization and context-awareness. The issue of full transparency, it seems, is an open topic with varying perspectives.

[Aubert et al. \(2018\)](#) evaluated motion-based and display-based communication modalities within a HMT that was required to complete a collaborative manufacturing task. This approach to evaluate communication of intent used various penalties for failing to safely coordinate movement within a well-defined space. A moderate sample of teams found that while multimodal communication of intent did not significantly improve upon unimodal approaches, the successful communication of intent can facilitate fluent coordination in concurrent team operations. However, there is no space for ambiguous communication of intent within a military operation.

[Heard et al. \(2019\)](#) presented a diagnostic workload assessment algorithm that estimates workload using results from peer-based and supervisory-based observation. This study used wearable sensors that capture physiological workload indicators that are sensitive to environmental effects. The algorithm correctly classified workload 90% of the time when trained on data from the same human robot teaming paradigm. It is feasible that military operations in permissive environments could employ such algorithms to adapt and allocate tasks, but in contested military environments, soldiers are expected to function at the maximum physical and cognitive workload. The multiple layers of real-time remote data feedback are also susceptible to adversarial intercept, geo-location and jamming.

[Meng et al. \(2020\)](#) proposed a fused bi-modal architecture of speech and gesture. A Convolutional Neural Network (CNN) and Baidu API are employed to recognize and forward match speech and gesture. This information is then processed by an algorithm to fuse the output into an intention category. The operator's intention is determined by judging the fill integrity of intents in the intention slot. The experiment showed that the bi-modal fusion architecture was an improvement on unimodal means of communication in recognition accuracy and efficiency. Such algorithms may have application in low critical circumstances; however, even the most mundane military tasks cannot be susceptible to algorithmic adjudication.

[Shu et al. \(2019\)](#) proposed an architecture for safe human robot collaboration on a coordinated and repetitive physical task. The HRI was initiated within a Virtual Reality (VR) simulation and followed by implementation of the tasks with real world robotic applications under VR supervision. This simulated world allowed for modification and rapid prototyping of multimodal communication options where tasks can be first executed in the VR simulation with different input and feedback channels to identify and validate the most efficient communication means for the HMT. This architecture appears to have ready application for the rehearsal of coordinated defined tasks with high degree of repetition which would lend itself well to a manufacturing workplace, but not a dynamic tactical military environment.

[Efthimiou et al. \(2019\)](#) presented the principles and technologies that form the basis of the i-Walk platform HRI environment. Multimodal communication patterns from live human interaction in a rehabilitation context enriched human robot communication. This was achieved by increasing the naturalness in interaction from the robot side with respect to its comprehension and reaction capabilities. This approach will require continued refinement of human robot communication modelling in order to achieve an effective HRI. This research is less applicable to tactical military capabilities due to the highly specialized nature of assisted robotic applications.

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Kaindl *et al.* (2008) introduced a semi-autonomous mobile robot in a 2D space focusing on multimodal human and robotic communication. A visual dialogue based robot and human communication is discussed in Zhou *et al.* (2019). Multimodal interactive interfaces using speech and gestures are presented in Lunghi *et al.* (2019) and Fuhrman *et al.* (2019); however, these are not military context oriented. For incremental language generation, vision and audio are used in HRC in Yu *et al.* (2015) and Yu and Tapus (2020).

A multimodal interaction model that fused gesture, speech and pressure information was presented in Zeng and Feng (2020). This included simple interaction information set to identify users' intention. This multimodal intelligent interactive virtual experimental platform (MIIVEP) was tested with middle school teachers and students.

Vision and hearing modes are used in information transmission and communication in Hou *et al.* (2020). A neural network supported this single mode information identification.

A multimodal human-robot interface for a cooperative team of robots operating in a hazardous environment was demonstrated in Lunghi *et al.* (2019). This HRI facilitates the homogeneous control of a heterogeneous set of robots. The operator was capable of entering in the loop between the HRI and the CERNbot to customize the control commands during operations specific to the CERN facility. This can be a useful reference for design of a military robot multimodal communication in a contaminated or challenging environment.

In Robotic and Strategy (2017), the US Army Robotic and Autonomous Systems Strategy has stated the potential impact and necessity of human-computer interaction (HCI) in future intelligence analysis capabilities (FIAC). This study highlighted the operation of smart-room, collaborative working environments and various hardware display technologies (large group display, flexible display, wearable display, mixed/augmented reality, mobile and ubiquitous computing capabilities). It also examined the potential use of multimodal interactive technologies such as touch tables, speech and gesture recognition, bio-metrics, intelligent and adaptive user interfaces and advanced information visualizations.

Distributed mobile sensor networks, integrated communications and visualization technology are discussed as HMT capabilities in Mortimer and Elliott (2017). This research hypothesizes that tactical situational awareness (SA) can be improved if HRCs are prioritized for single or multi-sensory display according to importance and appropriateness. Some attention management issues were identified during task re-engagement and some guidelines relevant to tactile cues within multi-sensory bidirectional HRCs were offered. A novel neural network architecture called Global Workspace Network (GWN) is introduced in Bao *et al.* (2020). This may be capable of handling dynamic and unspecified uncertainties by applying multimodal data fusion. Behaviour based processes of an autonomous system model claiming trustworthiness is presented in Wang *et al.* (2020). Yet details of acquiring trustworthiness are not presented. Trust is closely related to communication which is often not addressed in the existing research.

Human perception of robots using a smart speaker embodiment, and how this affects the frequency of user interaction is discussed in Kontogiorgos *et al.* (2020). This study used a human-like robot embodiment teleoperation task using the Augmented Reality Interface for Teleoperation via the internet (ARITI) software framework. This architecture was tested in its ability to achieve safe human robot collaboration by using a simple repetitive task use-case which is jointly executed by the human and the robot. A nut was held by the human and a bolt was turned into the nut by the robot. The task was executed in the VR simulation with different input and feedback channels (multimodal) in order to identify the optimal communication between the human and the robot (Kontogiorgos *et al.*, 2020). A semi-immersive VR/AR platform was designed to assist human piloting of robotic platforms in Boudoin *et al.* (2008). Smart tracking and smart action, and a 'Follow-Me' technique were integrated with a large scale (ARITI framework) multimodal HRI environment. Inconsistencies in the use of hand and eye in the virtual scene has been studied in Li *et al.*



(2020), which applied gesture and voice based multi-channel fusion to efficiently complete a man-machine collaborative task via an interaction algorithm.

A multimodal interactive robotic framework based on a three-step approach: multimodal recognition, intention interpretation and prioritized task execution was presented in [Iba et al. \(2005\)](#). The multimodal recognition module translated hand gestures and spontaneous speech into a structured symbolic data stream without abstracting user intent. The interpretation module on the intents chose user input as primitives. This considered a task based user input, the current state of the system, and concurrent system state to prioritize robot sensing. The framework used a vacuum-cleaning robot to exemplify the interactive programming and the plan recognition.

The concept of HRI is applicable to various types of work such as search and rescue missions, industrial arms management, medical tasks, education or entertainment. For these purposes, the robot control system enables the human and robot to work together. The links for the system and operator must be identified through a control architecture. Another critical part is the system control algorithms which assess machine decisions for a single task. A sensor fusion based robotic system architecture for motion control is presented in [Ruiz and Chandrasekaran \(2020\)](#). A sensor fusion can integrate the information from sensors by using a data association approach ([Xue et al., 2020](#)).

Robots in logistic services such as intelligent hoisting, assembling car bodies and welding parts of a car are investigated in [Mikušová et al. \(2017\)](#). The findings estimated that the robot and robotized workplace is four to six times more efficient than purely human service.

A recording and playback system was developed and tested in a surgical operation environment in [Pandya et al. \(2019\)](#). This was applied in several areas such as training of surgeons; collection of learning data for the development of advanced control algorithms and intelligent autonomous behaviours; and use as a “black box” for retrospective error analysis.

HMT is a core theme of the US Department of Defense vision of future warfare. A successful collaboration between humans and intelligent machines depends on trust. Trust is a complex and multilayered concept. Autonomy and AI technologies are consistently considered valuable in military systems and missions ([Konaev and Chahal, 2021](#)).

Explainability and trust, description and adaptation of technologies in a social and organizational context are essential in fostering trust in technology as discussed in [Canal et al. \(2020\)](#). Conventional and standard interfaces from skeleton tracking, to face recognition, to NLP for HRI scenarios have been considered for Robot Operating Systems (ROS). Construction of complex multimodal pipelines for HRI and social signal processing has been developed to bridge the HRI gap in the ROS ecosystem in [Mohamed and Lemaignan \(2021\)](#). The HRC requires robots with explicit internal models of a human and capacities to achieve tasks effectively with a human partner.

A cognitive robotic decision framework for situation assessment and context management, goals and plans management, refinement, execution and monitoring to share space and tasks with a human partner is developed in [Alami et al. \(2011\)](#).

#### *4.2 Autonomous unmanned systems*

Unmanned Ground Vehicles (UGV) have advantages over people in a number of different applications, ranging from sentry duty, scouting hazardous areas, conveying goods and supplies over long distances and exploring caves and tunnels. Despite the growing technical integration (e.g. artificial intelligence (AI), machine learning (ML), CV related technology) to unmanned vehicles, the state of technology is still far from achieving some basic communication needs. As CV algorithms are implemented in hardware, the UGV is becoming partially autonomous, yet it is difficult to successfully issue higher level commands to a UGV, such as “patrol this corridor” or “move to this position while avoiding obstacles” ([Anderson et al., 2006](#)).

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Computer vision algorithms for analyzing Electro-Optical (EO) and Infrared (IR) Full Motion Video (FMV), 3D Light Detection and Ranging (LiDAR) and Wide Area Motion Imagery (WAMI) sensor data are used in [Lavigne et al. \(2019\)](#). Broadly speaking, this technology is related to tracking, insight seeking and surveillance in challenging environments.

A streamlined unmanned underwater vehicle (UUV) based deck with a submerged submarine in high sea littoral water was proposed in [Watt et al. \(2015\)](#). The solution used an automated active dock to correct for transverse relative motion between the vehicles. This concept was evaluated and tested by building and testing individual components to characterize their performance, errors, limitations and cost. A dynamic simulation model of automated docking of a UAV to a slowly moving submarine in littoral conditions was presented in [Roy et al. \(2017\)](#).

Some separate applications such as dynamic reactive behaviours and capabilities in Platforms for Ambulating Wheel (PAW) robots were studied in [Brotan et al. \(2004, 2005, 2007\)](#), [Monckton et al. \(2005\)](#), and [Shaker et al. \(1992\)](#). Similarly, developments in reinforcement learning in autonomous climbing and obstacle avoidance robotic vehicles were explored in [Vincent \(2008\)](#). A Robot Operating System (ROS) autonomous mobile ground rover platform for cultivation and fertilization was reported in [Post et al. \(2017\)](#). The challenges of mobile agricultural robots are navigation and localization, object recognition and mapping as well as path planning algorithms. However, in this limited case the rover successfully navigated in the environment and performed its tasks. A preliminary human activity recognition for HRI, including human fall detection, ambient intelligence, video indexing, content-based video analytics, robotics and visual surveillance was conducted in [Harriott and Adams \(2010\)](#). All of these investigated particular capabilities separately. None of these investigated how the military communication would be improved by applying automation capabilities.

A robot is teamed with bystanders to accomplish victim triage, search, a hazard reading and a hazard sampling task in [Harriott et al. \(2011\)](#). This study used Human Performance Moderator Functions (HPMFs) to predict human performance in various roles for a peer-based human robot team. Factors such as fatigue, stress, injury, dehydration, weather and cognitive workload were considered for the HPMFs. [Ingrand and Ghallab \(2017\)](#) discussed the interaction between a person and a robot without any training and the influence of HPMFs in cognition and performance management. A similar concept was attempted with trained response personnel in [Arrabito et al. \(2010\)](#) and [Bray-Miners et al. \(2012\)](#). Four different types of gesture based 3D Lidar interfaces for a UGV was investigated in [Kealey and Collier \(2020\)](#).

Human factors relating to the operation of unmanned aircraft systems are discussed in [Kaluzny \(2012\)](#), [Arrabito et al. \(2010\)](#), [Cahronneau and Legault \(2017\)](#), [Giang et al. \(2010\)](#), [Kim and Hmam \(2009\)](#) and [Bourdon and Kaluzny \(2013\)](#). A literature review on human activity recognition (HAR) by feature extraction, object segmentation, bag of words and Hidden Markov Model (HMM) is reported in [Ruitang et al. \(2007\)](#). This survey of literature describes longer-term research on speech recognition techniques and the systematic progress towards features and requirements of intelligent robots. These include speech interaction, dialogue management and embedded system implementation of intelligent-robot-oriented speech interactive techniques.

An autonomous orbital robot with a panoramic camera to improve position accuracy using a distributed control system hierarchy was proposed in [Chngchun et al. \(2015\)](#). [Tanaka \(2016\)](#) provided a survey of human-machine systems and discussed the growing involvement of intelligent robots in space, ground, marine, social, industrial, legal service and domestic service. This work provides an outline of a HMS, state-of-the-art research and open questions with the aim of identifying what should/could be done to achieve further innovation in the HMS field.

An autonomy strategic challenge (ASC) explored the need for sensor and image analysis and related technology, gaps between sensing and control, missing data analytics and AI technologies in [Monckton \(2019\)](#). It was loosely organized into live and synthetic experiments geared towards true multiple unmanned air, land, and maritime vehicles coordinated by mission-oriented control. Decision support-based socio-technical systems have been developed for battle management systems in [Allouche and Turgeon \(2020\)](#).

To support unmanned aircraft systems crew operations, an authority pathway based weapon engagement system is designed and developed in [Fang and Hou \(2021\)](#). This assists personnel in following the laws of armed conflict and standard operating procedures. Such systems can be properly used only when there is clear communication involved. This fundamental component is yet missing.

A UGV and unmanned aerial vehicle (UAV) have potential impact in reconnaissance, surveillance and target acquisition platforms for the battlefield of tomorrow in military communication ([Moreau, 2011](#)). A testing approach in unmanned UAV systems for local-area surveillance augmented by automated data association is discussed in [Anderson \(2009\)](#). A statistical hypothesis-based method is used to achieve track-to-track automated data association. Another approach to mobile robot navigation based on Q-learning and W-learning is investigated in complex terrains in [Vincent \(2006\)](#). The study narrowly investigated robot navigation in terrains using the selected models.

An impressive variety of approaches is evident in the research supporting autonomy in robotic systems. As we discovered in this part of the survey, none of these achieve a primary goal of assisting the military create multimodal interactive robot communication.

#### *4.3 Visualization and visual analytics*

Humans are responsive to visualizations, which can have a dramatic impact. Visual thinking and the visual representation of data, interpretation, and hypothesis building can be thought of as VST. Graphics, pictures and imagery all help in perception and cognition through powerful human visualization perception processes. Visual analytics (VA) attempts to leverage data visualization and support analytical reasoning. A typical approach to this is to collect, process and present data using visual forms. Then visual perception is analyzed and knowledge is discovered to visually reveal the story hidden in the data.

The fundamental VA steps such as data collection and processing are essential for the preliminary visual representation. This analysis strives to assign a useful data model such as through clustering or classification.

A large proportion of human brain power is dedicated to processing the signals from vision. Visualization of information helps in perception and reduces cognitive stress. Visualization helps in exploring large data sets and gives insight into the data. The human vision is the most powerful perception tool among the five human senses: Sight – 70%, hearing – 20%, smell – 5%, touch – 4% and taste – 1% ([Stangor and Walinga, 2010](#)).

An immersive interactive VA interface was used in [Cybulski et al. \(2014\)](#). An interactive visual analytics metaphor was explored for designing and communicating using visual representations. Three nodes, two machine tools equipped with sensors and measurement systems, a collaborative robot with 6 degrees of freedom, a human machine interface for condition monitoring and visualization are some features and items in this framework. This framework was able to manage events and alarms in machine tools and driver robot manipulators using a Raspberry Pi 2 and cloud-based communication protocol system.

A deep survey of visual object tracking in a surveillance environment was conducted in [Kaur et al. \(2018\)](#). This study used divergent techniques to investigate human activity recognition and interaction, ambient intelligence, video indexing, content-based video analytics, robotics and visual surveillance conducted for the purpose of object tracking.

The visualization of a geospatial active vessel trace was discussed in [Gouin et al. \(2011\)](#). The VA was applied in support of maritime situation awareness, perception and comprehension ([Stewart, 2012](#)).

A sensemaking support system (S3) prototype was developed based on visual encoding, visual summary cards, a record browser, magnetic grid, multiple timelines, a graph analyzer and intelligence studies in [Lavigne and Gouin \(2011a, b\)](#) and [Lavigne et al. \(2011a\)](#). A sense-making toolbox with animated map and timeline, visual summary and magnet grid used as visual widgets for situational awareness was developed in [Varga and Lavigne \(2016\)](#). Social network analysis (SNA) in a non-maritime context such as an extended graph analyzer widget and a generic graph analyzer widget in counter-insurgency are applications of VA ([Lavigne, 2014a](#)).

A number of studies consider anomaly detectors, historical models of data streams, prediction of future trends, identifying anomalies in data streams, anomaly correlation, filter potential for false positives and network alert messages. For instance, VA was applied in visual anomaly detection, maritime domain situational analysis, maritime threats, coastal safety and Maritime Security Operation Centers (MSOC) including a JavaScript based interactive prototype in [Hall et al. \(2014a\)](#) and [Venour and Roodnick \(2011\)](#). Maritime Visual Analytics Prototype (MVAP) considered the trajectory, a specialized widget and factual information exploration ([Lavigne, 2014b](#)). The MSOC was later extended to social network analysis in a counter-insurgency context as MVAP in [Lavigne \(2014c\)](#) and [Lavigne et al. \(2011b\)](#). This was further extended to maritime domain applications. The focus was Visualizing Normal Maritime Behaviour (VNMB), Surveillance and Anomaly Detection (SAD), and Collaborative VA of a Vessel of Interest (CVAV) ([Davenport et al., 2013](#)). A sense making support system for joint intelligence collection and capability was discussed in [Lavigne et al. \(2011, 2012, 2019\)](#), [Riveiro \(2011\)](#) and [Lavigne \(2015\)](#). The maritime domain awareness of adjacent and bordering seas, ocean and navigated waterways, including some maritime-related activities, infrastructure, people, cargo, vessels and other conveyances were part of this development. Dynamics of Counter Improvised Explosive Devices (E-IED) applying story telling exploratory visual analytics was reported in [Lavigne et al. \(2020\)](#). This enables a storytelling feature to provide insights to the user. The VA exploratory tool can provide a geospatial view of IED incidents, as well as incident type in text form and visual forms. These approaches do not address prediction which is an essential element for emergency events or military activities.

A vision-based framework for automatically recovering an AUV by another AUV in shallow water is proposed in [Liu et al. \(2019\)](#). This framework contains a detection phase for the robust detection of underwater landmarks mounted on the docking station in shallow water and a pose-estimation phase for estimating the pose between AUVs and underwater landmarks. This approach has been outperformed in terms of remote landmark detection. Liu's vision-based framework with acoustic sensors in field experiments demonstrated its effectiveness in the automated recovery of AUVs.

Trajectories, interactive Kohonen maps for trajectory aggregation, clustering trajectories for ship density regular traffic, vessel movement patterns using hybrid velocity signatures to detect an anomaly and spline trajectory based clustering for coastal surveillance are discussed in [Anderson \(2009\)](#) and [Davenport et al. \(2013\)](#). An improved prediction inspired algorithm and pattern based learning model for real-time maritime domain information tracking is presented in [Rhodes et al. \(2007\)](#). This approach demonstrated improved prediction accuracy. A rule based expert system and vessel motion is applied to maritime domain anomaly detection and situation awareness in [Roy \(2009\)](#). A literature and product review on VA for maritime awareness is presented in [Davenport \(2009\)](#). This review considered approximately 70 papers from global VA researchers, research groups, journals and conferences and fifteen VA products. It focuses on VA patterns, topics and needed tools

for the Recognized Maritime Picture (RMP). A prototype of expert knowledge acquisition via social networking tools and technology for the Canadian Forces (CF) is investigated in [Crebolder et al. \(2014\)](#). Social networking is seen as a useful and supportive tool for the CF in these studies.

VA was applied in cyber-security to recognize risks, and protect against cyber-threats, enable key aspects of digital forensic processes and information discovery in [Goodall and Sowul \(2009\)](#). ManyNets for forensic activities, history trees and a Starlight visual information system analysis tool were used in [Lavigne and Gouin \(2015\)](#). A visual form of successful and missed terrorism attacks across the world since the 1970s was described in [Hall et al. \(2014b\)](#).

Situational awareness (SA) is the perception of the environmental elements and events with respect to time and space. In an effort to improve SA, the Army might deliver swarms of multiple small robots to an area of operations in advance of the maneuver of forces during operations ([Lapinski, 2009](#)). The authors applied VA to exploit interactive visualization and human cognition abilities to sustain SA in this contested operational environment.

Visual analytics have been explored extensively for use in different military applications; but visualization and VST for communication purposes paired with a multimodal communicative interface has not been achieved.

#### *4.4 Emergent technology*

Multimodal communication involves multimodal perception, multimedia and the virtual environments of virtual reality (VR) and augmented reality (AR).

Emergence of new Information and Communication Technologies (ICT), such as VR and AR and Mixed Reality (XR), have created the current era of HRI and HRC.

AR is intended to provide the user an interactive experience within a real-world environment, where computer generated images are overlaid. This can be accomplished using multiple sensory modalities, including visual, auditory, haptic, somato-sensory and olfactory. The potential HMT application of AR and VR based communication, AR-based behaviour explanation, AR/VR for robot testing and diagnostics, VR for HRI human-subject experimentation, efficient representations for AR/VR, AR-enabled robot control as well as architecture for AR/VR have been explored in [Williams et al. \(2018\)](#) and [Stedmon et al. \(2013\)](#).

VR provides an immersive environment where objects are modelled by computer graphics. It creates a virtual environment for users to experience, observe and interact with virtual objects as a means to better perceive a real environment. In contrast, AR overlays a VE over the real world. AR features such as spatial mapping, audio and visual feedback, enable the simulation of realistic applications. In robotics, VR and AR technologies are used to study and prototype costly concepts and evaluate their validity with much lower expenses in a safe user environment.

An efficient, coordinated emergent solution using a multi-agent shepherding task was demonstrated in [Nalepka et al. \(2018\)](#). Shepherding refers to the guidance required for a multi-robot cooperative task. This study also deals with a dynamic and deformable environment (referring to terrain deformation or destruction). For this shepherding task evaluation, participants were engaged in a virtual world and the emergence of oscillatory-like behaviour in the virtual avatar inhibited efficient, coordinated behaviours.

Emergent technologies have been investigated in various contexts: A virtual environment for investigating human trust and human robot interaction in the context of a disaster is laid out in [Ablavsky et al. \(2002\)](#) and [Crebolder et al. \(2014\)](#). An obstacle avoidance strategy using emergent technology to discover an alternative path to a selected destination was studied in [Yang et al. \(2014\)](#). A stationary integrated video and active surveillance with GPS to GPS denied tracking was introduced in [Maciejewsk et al. \(2008\)](#). To enhance usability and

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interactions, emergent technology was deployed by the Royal Canadian Navy (RCN) (Karle *et al.*, 2018).

Virtual assistance in multimodal autonomous interactions to enhance military robot communication and provide support as needed has not yet been achieved.

#### 4.5 Spatial prediction

Spatial analysis, which focuses on location-based data, often processes geographic data (Esri, 2018). Geographic data can include geographic location, raster data (which consists of vector layers such as rectangular or triangular or circular or as a mixture of these) and natural environmental types based on elevation, temperature, precipitation and location. These might include roads, terrain, canals or census area. Spatial data exploration is a process of interaction with a collection of data and maps in order to visualize and explore geographic information. It is often an iterative interaction with information drawn from tables, charts, graphs and multimedia.

Logistic regression (LR), support vector machine (SVM) and random forest (FR) were used in predictions of traffic violations in Hana *et al.* (2008). An association rule, namely the Forward Prediction (FP)–Growth algorithm for describing the set of classes in a relevant association, is applied in geo-spatial semantics. This used Web Ontology Language (OWL) for human-computer interaction to feature the forest, semi-natural areas, wetlands and waterbodies for spatial prediction (Mc Cutchan and Giannopoulos, 2018).

A systematic review of different spatial methods such as spatial statistics, spatial econometrics, data-mining, ML, CV, remote sensing, geographic information science and spatial database by different research communities is provided in Jiang (2018). A spatial modelling, scene understanding, navigation and action-generation based autonomous robot is discussed in Stachniss (2009). A robot with a Hidden Markov Model (HMM) based high level interactions such as dyadic dialog between the presenter and a listener and vice versa is investigated in Mead *et al.* (2011). The objective of this study was to provide a social robot with the ability to recognize and produce appropriate social behaviour (Huang *et al.*, 2017). An interactive human–machine user interface basing predictions on eye movement gaze features is proposed in Hu *et al.* (2019). This applied SVM clustering analysis for spatial prediction, and the accuracy rate was 64%. A high correlation between haptic information and perception of the real and virtual environment was observed, while a visual-anchored prediction based on haptic information on the perceived presence of the VE was investigated in Gall and Latoschik (2018).

Deep learning networks (DNN) have been applied to estimate the indoor partial space for an air conditioner to blow air selectively into the main living area of residents. The DNN learns the human body detection saliency map to estimate the living or non-living area of the residents by accumulating sequential predictions (Cho and Lee, 2018).

For location prediction, a temporal spatial Bayesian model was applied to locate and predict locations for selected friends in social networks in Jia *et al.* (2016). Spatial autocorrelation and analysis for highly correlated large dimensional spatial data predictions has been successfully applied for many different system settings in Diaz (2007).

#### 4.6 Natural language processing (NLP)

ASR, automatic speech synthesis, machine language translation (MLT), machine language understanding (MLU), text-to-speech (TTS) and natural language generation (NLG) are sub-areas in NLP. NLP, ASR, and TTS were applied in short message service (SMS) applications: reading a weather map aloud, reading emails and news, and updating business news. NLP is applied to convert syntax to semantics to achieve effective communication (Wiryathammabhum *et al.*, 2017). A spoken utterance is typically closely related to a



context. Utterances are influenced by the expression, an event, the intention and related situation, e.g. how a particular speaker acts and speaks in a specific event, at a certain time in that particular position at that location. A simulated AI-based chatbot and military dialogue for a robotic system is introduced by the author. This is being extended and integrated with real robots for military communication.

VibLive is a text dependent voice user interface which detects the liveness of voice. It has been used as a voice user interface within the Internet of Things (IOT) environment obtaining 97% accuracy. It verifies live users and detects spoofing attacks without requiring users to enroll specific passphrases (Zhang *et al.*, 2020).

NLP is also applied for interactive HMC and interaction in Brouwer and Harrington (1994). A multi-tasking ASR speech recognizer called Elektrobot Virtual Assistant was implemented applying delayed neural network (NN) and integrated into a Kaldi speech recognition development tool (Ranzemberger *et al.*, 2018). The objective was to assist with maps, search engines, web browser applications, phone applications, radio stations and speech dialogue. Speech recognition for controlling a robot was developed in Kumar *et al.* (2012).

Intelligent and interactive robots using dialog and speech for robotic research are not new. With speech as one of the most common communication means, research in speech technology has a very long history. A speech recognition system applying HMM, neural net, speech signal processing, noise reduction and filtering for noisy speech enhancement was applied in an educational setting and showed 85% recognition accuracy (Ruitang *et al.*, 2007). Industrial noisy speech recognition applying adaptive signal processing, pattern recognition and HMM is described in Richter *et al.* (2022). CNN and recurrent neural network (RNN), as an end-to-end deep NN, were used for ASR in Song and Cai (2015). In this work, the framework classification was done for the CNN, and connectionist temporal classification (CTC) was used for decoding. In the CNN and RNN based speech recognition, the TIMIT database is used for experiments and was implemented by using a python library called SAIL. Based on the results in that research, the recognition accuracy is good, but the complexities and difficulties in classification are high. GMM and HMM-based classifiers are predominantly used in ASR research. The latest progress of deep learning NLP with respect to modelling, learning and reasoning perspectives is discussed in Young *et al.* (2018) and Zhou *et al.* (2020). For rich-source tasks with enough training data, supervised-learning has been shown to be effective. According to these studies, for low-resource tasks with little training data, semi supervised and unsupervised learning, multitask learning, transfer learning and active learning can be used. These methods can either generate more pseudo training data for model training or leverage the knowledge learned from existing models and tasks. Logic and reasoning can extend NLP applications to advanced multitasking NLP stages combining multiple modes and explaining these from different communication perspectives. Reasoning can either be performed explicitly by designing specific inference models, or performed implicitly by end-to-end training. The state-of-the-art results on these tasks are achieved by end-to-end neural models. Poor performance in these systems may be due to existing knowledge bases suffering from low coverage of open-domain natural language texts for tasks requiring processing of both text and visual content. Typical (non-neural and neural) inference methods including integer linear programming (ILP) and Markov logic networks (MLNs) have been successfully used in various NLP tasks, such as Question and Answering (QA), dialog systems and information extraction.

Human-machine speech can be used in the classroom for education. This requires a multimodal enabled interactive intelligence which has a time-aware, stream-based programming model for parallel coordinated computation as well as a set of tools for data visualization, processing and learning. AI tools support the estimation of alignment probabilities between an observable and a hidden sequence in Bohus *et al.* (2017). An intelligent robot oriented speech interaction tool for speech synthesis to convert normal text consisting of words into speech was

introduced in [Ruitang et al. \(2007\)](#). Investigation of multimodal features of speech, supporting spoken dialogue in assembly and emergency situations for HRI applying deep learning technology is ongoing in [Paul et al. \(2022a\)](#) and [Paul et al. \(2022b\)](#).

Statistical classification for task-oriented dialogue between soldiers and robots is introduced in [Quach \(2021\)](#) and [Army \(2020\)](#). This robot enabling conversational AI can communicate and perform actions based on underlying intents.

#### 4.7 Computer vision (CV)

In order to detect human motion and enable control, a CV-based robotic pet interaction system was developed in [Mihara et al. \(2000\)](#). The Motion Processor (MP) and Region of Interest (ROI) were used in lego mindstorm robotics to detect human actions and control a robotic pet. A survey of robotics applications clarifies the relationship between CV and NLP as well as related applications in [Wiriathammbhum et al. \(2017\)](#). A survey of robots and workplace, industries, logistics and healthcare was conducted revealing that North America lags other parts of the world in having robots in the workplace ([IFR, 2018](#)).

How statistical methods, deep learning and large scale neural networks have been applied in machine intelligence, speech recognition, CV, language understanding and translation, robotics and HRI are briefly covered in [Dean \(2016\)](#).

The perception of robotic interaction and human social impact was examined in [Fraune and Sabanovic \(2014\)](#). The study concluded that there is a requirement for the development of more robust HMC. The study included participants from different age groups and used robots for several different types of communications.

An AI based autonomous chess playing robot on a Robot Operating System (ROS), hand detection and chess movement tracking was developed in [Rath et al. \(2019\)](#). This autonomous chess player accomplished 50 independent successful moves in an indoor environment. CV was also employed in the real-time autonomous video enhancement (RAVE) system developed in [Carloni et al. \(2013\)](#).

CV-related capabilities for the recognition of automated facial mimicry with laughing facial expressions were developed in [Paetzel et al. \(2017\)](#). A vision sensor for detecting the distance between surrounding buildings and a vehicle was developed in [Kang et al. \(2014\)](#).

Real-time tracking for three dimensional computer graphics (3D-CG) vision was introduced to locate the operator's object in [Mitsubishi et al. \(1997\)](#).

HRI in the context of robotic musicianship was explored in [Cicconet et al. \(2013\)](#). This work investigated computer vision, mainly with visual cues, to anticipate a robotic response to human gestures. The use case measured the time difference between a human player and a robot with the robot performing better.

A low-complexity lidar gesture recognition system for mobile robot control in a real world teleoperation setting has been demonstrated in [Chamorro et al. \(2021\)](#). A gesture-based HRI applying a NN classifier for gesture recognition was implemented in [Trigueiros et al. \(2015\)](#). A gesture recognition system applying the HMM was developed in [Acharya and Pant \(2015\)](#). The processing tasks included background subtraction, segmentation, feature extraction and classification. The result was compared with multilayer neural networks (MLP). The HMM-based recognition system demonstrated 90% accuracy and the MLP based recognition system obtained 60% accuracy in [Tanguay \(1995\)](#) and [Marcel et al. \(2000\)](#). Yet none of these gesture recognition investigations are adequate to meet the multimodal communication need. Substantial modifications and additional poses can be some options to extend this to gesture-based communication.

#### 4.8 Overview

This section presents an overview of the research of multimodal interaction using various modalities and multimodal fusion of speech and gesture for various applications and HRI-

based on sensing and perception. The application domain of existing research in general is very restricted and mainly limited to indoor environments. Significant progress has been made in some areas, yet these technologies are not yet available to be used in complex emergency situations, outdoor complex military missions or natural catastrophes such as wild fires, floods and hurricanes.

A summary of technologies, modalities, technical aspects and application domains is shown in [Table 2](#).

### 5. Analysis, gaps and a design concept

A mobile robot requires a mechanism of locomotion that enables it to move unbounded throughout its environment. Even though significant progress has been made, navigation remains one of the most challenging tasks for the mobile robot. Navigation is closely related to perception, which requires sensors, extracting useful and meaningful information, determining localization with respect to a robot’s position in the environment, cognition for path planning to decide how to achieve its goal and finally, motion control that allows the robot to manipulate its motor for desired trajectories. Proper coordination of different sensing and fusion are critical to managing navigation better.

Visual analytics have been applied in airborne surveillance and the maritime domain. However, visual analytics and VST are yet to be extensively applied in decision making to capture dynamic changes in military situation management as well as to predict and assist in managing emergency situations. Multimodal autonomous interactive communication can be a practical companion in emergency situation management.

Multimodal fusion for multimodal learning based multimodal autonomous military robots in communication for complex situations are yet to be deployed. Up to this date, based on the available knowledge and publicly available documentation, a vigorous active research and development in UAS and HRI systems to support better decision making, are yet to be implemented. Autonomous multimodal communication and general autonomous communication do not currently exist in the civilian and military domain. A dialogue-based autonomous mobile robot has yet to go beyond simple message transactions.

#### 5.1 Objectives and proposed approach

The primary objective of the IRS is to establish a test-bed capability to enhance the tactical execution of missions in complex environments while protecting the employed force. Furthermore multimodal autonomous communications deployed onto tactical platforms should improve the speed and quality of decision making in the FOE. This is a demanding and complex problem space that will require a significant ongoing investment by the military, emergency management team, first responder and soldier. Knowledge base locomotion, multimodal fusion, multimodal machine learning, sensing as well as perception, virtual assistance and multimodal HRI are central components of such a system. The major components of the proposed system are:

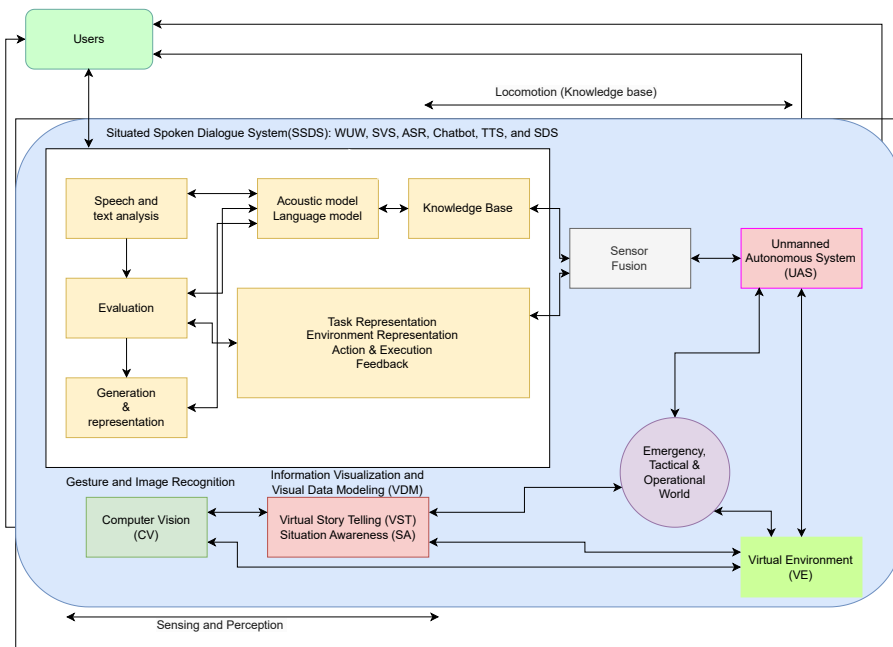
Technology	Modalities and technical aspect	Application domains
Multimodal interaction	Speech, gesture, vision	Mainly indoors
Sensing and perception	Vision, visual and textural	Mainly indoors
HRI	Speech, text, gestures, vision and images	Mainly indoors
SA, ET	Visualization, CV, AR, VR	Mainly Indoors
UAS, HRI	VA, SSDS, gesture, speech	Mainly Indoors

**Table 2.**  
Overview of major topics

- (1) Multimodal interactive robot that communicates using multiple modes, senses and perceives and is mobile
- (2) Virtual environment for personal assistance
- (3) Multimodal user interface

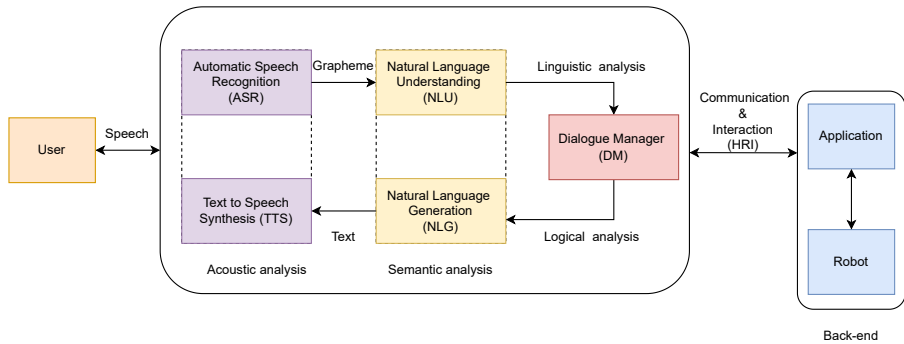
5.1.1 *Multimodal interactive robot.* Figure 2 shows the proposed IRS framework: A user (e.g. military team leader) communicates with the robot using spoken commands, gestures, images and interacting with the VST and virtual personal assistance as needed. The communication modes are consistently coordinated by the sensor fusion. The goal is to make the robot communicative with the military using the following modalities:

- (1) Verbal, non-verbal, text, graphics, images and sense based modalities:
  - **Spoken Dialogue System:** This assists two-way communication between the military user and the robotic system using verbal and non-verbal commands. Figure 3 is an architectural view of a spoken dialogue system. Here user speech is captured, processed and transformed into a set of features correlated with uttered speech via a language model in an ASR system. NLG and natural language understanding (NLU) provide semantics and logical representations of text based on the dialogue manager. The Text-to-Speech Synthesis (TTS) system transforms the textual output of the NLG into acoustic signals. The Dialogue Manager (DM) is responsible for bidirectional connections of the acoustic front-end namely ASR, TTS and semantics and logical NLG and NLU components. Domain-based applications, such as HRC, are linked and connected via the DM (Paul et al., 2022a).



**Figure 2.** An overview of multimodal interactive robot in military communication

**Figure 3.**  
Abstract architecture  
of a spoken dialogue  
system



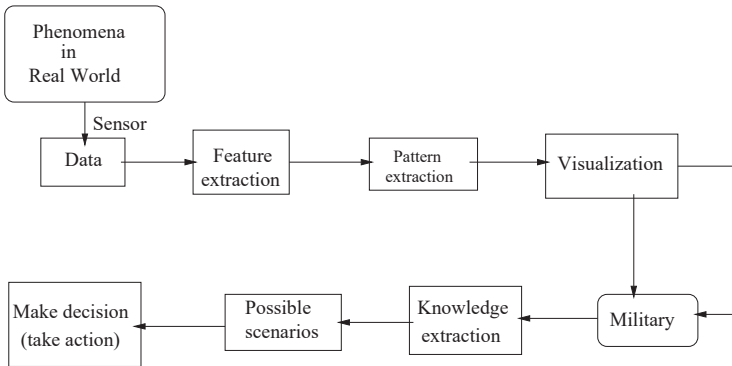
- Gestural:** The military user communicates with the IRS using a sequence of natural gestures which the robot can act upon via this component. Gesture-based interactions will be beneficial for the operation of robots conducting tasks in non-standard, uncontrolled situations and loud environments. This will have its largest impact in situations where gestures combined with speech emphasize the meaning of the message, particularly when speech is not viable. Hand movements and pointing to something are typical gestures in human interactions. Such interactions between the military user and the robot will establish a natural and intuitive experience in practice.

Established military hand gestures include: “STOP”, “ENEMY”, “FREEZE”, “HALT”, “MOVE LEFT”, “MOVE RIGHT”, “ASSEMBLE”, “RALLY”, “JOIN ME”, “INCREASE SPEED”, “LINE FORMATION”, “VEE FORMATION”, “ECHELON LEFT or RIGHT”, “CONTACT LEFT or RIGHT”, “ENEMY in SIGHT”, “QUICK TIME”, “MAP CHECK”, “DANGER AREA”, “STOP, LOOK, LISTEN, SMELL (SLLS)”, “RIFLE”. Gestures can be static (i.e. motionless) or dynamic (i.e. including movement). The IRS must recognize these established gesture-based commands and transform them into intended actions.

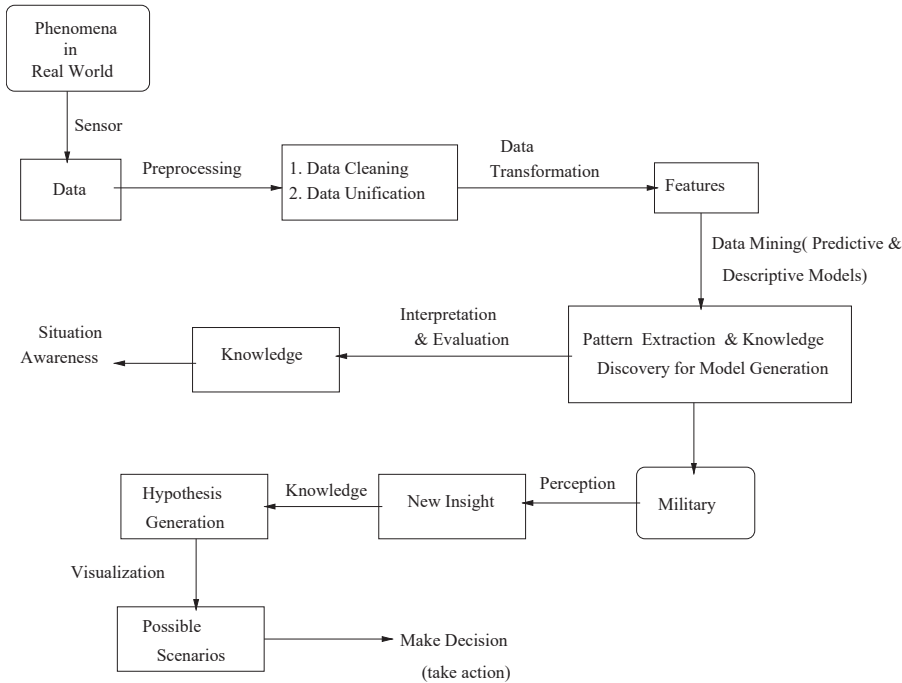
- Object Recognition and Detection:** This component describes the environment, allowing focused actions. The object recognition problem can be defined as a labeling problem based on models of known objects. Object recognition enables computers to recognize different categories of objects in images e.g. appearance, shape, location, any parts or any particular characteristics. A major goal is to minimize risk by recognizing danger. This component helps the military user geo-locate weapons, dangerous emissions and contaminated zones.
- Visual Story Telling for Visualization and Prediction:** This component allows visualization of scenarios, supporting decision-making through predictions and descriptions of the environment. This has two main components:

  - (1) Visualization
  - (2) Visual data mining

The VST fills a role as visual media in multimodal communication, assisting with visualization, cognition and perception. Some basic VST building blocks are shown in [Figure 4](#). These include information processing, predictions and descriptions. The VST captures abstract data, encodes data for visualization, transforms them for cognition and perception to discover knowledge and create hypotheses as shown in [Figure 5](#).



**Figure 4.**  
Visualization in the  
VST building block



**Figure 5.**  
Visual story telling:  
visualization and  
prediction

- **Virtual Environment based Personal Assistance:** The capability of the VE to provide personal assistance is central to its value to the military user. It supports high-level skills training and retention. The VE often aims to provide a synthetic experience for its users in this training mode. The experience may be illusory or virtual: the sensory stimulation to the user is simulated and generated by the system.

(2) **Sensor Fusion:** Sensor fusion is employed to support and augment decision making capability and achieve effective human-human and HMI and



communication. Sensor fusion has two main functions: 1. Coordination of sensor data and 2. Capture of human motion to coordinate this to robotic motion and action.

The component must activate the correct sensor(s) in order to achieve effective collaboration. The preliminary components of this are Control unit, multi-sensor data fusion and motion control. It selects the mode and purpose of the sensing signal to be communicated via a sensor in order to direct the information properly. It decides when to initiate and when to end the action of the particular sensor. It also fuses information to support learning. It leverages human motion for human robot collaboration and to support learning.

Interactions between human and robot can be initiated by the robot or by the human user. When approached by a human user, the robot must understand the users' intent followed by a decision on how to proceed, for example, deciding whether an interaction with a specific person should be launched.

In sensor fusion, available information is organized so that it is in an accessible form and to support decision making.

The decision making capability is captured from information by analyzing the features and classifying the behavioral sense. This capability to capture human behavioral intentions allows the robot to perform naturally and interact intelligently. Development and implementation of these features are not simple, requiring substantial analysis and investigation. Figure 6 depicts how the modified version of (Wu *et al.*, 2022) is accomplished in multiple iterative steps.

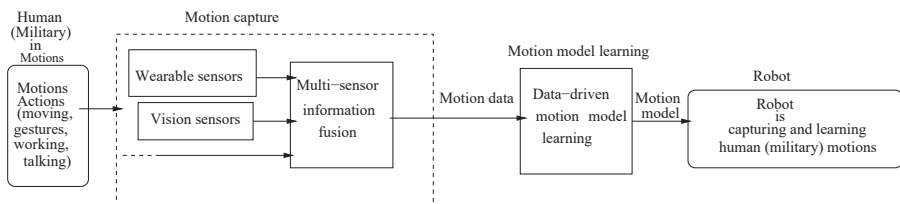
- (1) Associate and coordinate multi-sensor data for fusion
- (2) Integrate and update robot status based on multi-sensor fusion
- (3) Human and robot communicate via motion capture and learning

Based on the real world situation and scenario, these features will be modified, updated and extended as necessary.

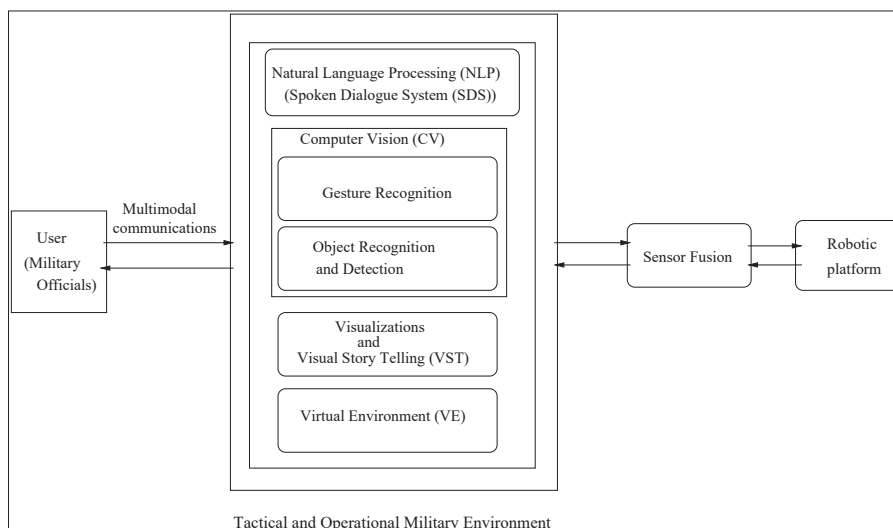
5.1.2 *Multimodal user interface.* Figure 7 shows the multimodal user interface for use in a tactical military environment. The multimodal interface is designated to increase usability and accessibility. The benefits of a multimodal interactive interface could be most valuable to physically impaired users. For example, visually impaired users can rely on the voice modality while hearing-impaired users can rely on the visual modality. These users will benefit from both speech and some haptic input/output along with visualization mechanisms.

### 5.2 Discussion

There are diverse research streams and development efforts addressing C4ISR (Command, Control, Communication, Coordination, Intelligence, Surveillance and Target acquisition). These involve the coordination of ISR assets, synthesis of intelligence and implementation of machine aids supporting decision making for commanders. These streams have primarily



**Figure 6.** Fusion of human motion capture and learning



**Figure 7.**  
Multimodal interactive  
robotic interface (MIRI)  
for military  
communications

been focused activities, such as improved surveillance and terrain mapping, improved collation of intelligence materials and computer analysis and modelling programs available to higher commanders as aids to decision making. A unified “Interactive Multimodal Robot in Military Communication” provides a synthesis of these types of activities, applicable at a much more tactical level. Rather than being used at the Battle Group or Brigade Group levels (e.g. for mission planning), the paradigm discussed here is most applicable to Section, Platoon or Company level operations. At this level the focus will be for planning and execution of operations.

Based on a review of the available applications of robotic systems with visual analytics in airborne surveillance and the maritime domain, these have yet to be applied in decision making to capture dynamic changes in military situation management and first responder assistance. Multimodal interactive communication has the potential to yield a significant improvement to the central features of effective communication in the planning and execution of military missions and manned-unmanned teaming. Based on the survey presented here, we believe there is an opportunity to combine multiple modes for HRI, apply artificial intelligence and leverage natural language processing for the design of military and civilian communication. We assess that an interactive robot for autonomous multimodal communication will be beneficial in a variety of civilian and military roles.

## 6. Conclusion

A multimodal autonomous robot in military communication using speech, images, gestures, VST and VE has yet to be deployed. Autonomous multimodal communication is expected to open wider possibilities for all armed forces. All branches of military organizations can potentially benefit from these developments, with platform-specific suites for specially selected operators. The flexible communication capability supports virtual training, which will enhance planning and mission rehearsals tremendously.

Each mode of multimodal communication is an active research area. Blending these developments into a functional and useful system is a substantial and daunting task. The introduction of the interactive robot concept for autonomous multimodal communications will require a series of prototyping and development iterations.

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