

Entire functions of restricted hyper-order sharing a set of two small functions IM with their linear c-shift operators

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Abhijit Banerjee and Arpita Roy

Department of Mathematics, University of Kalyani, Kalyani, India

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Abstract

Purpose – The paper aims to build the relationship between an entire function of restricted hyper-order with its linear c-shift operator.

Design/methodology/approach – Standard methodology for papers in difference and shift operators and value distribution theory have been used.

Findings – The relation between an entire function of restricted hyper-order with its linear c-shift operator was found under the periphery of sharing a set of two small functions IM (ignoring multiplicities) when exponent of convergence of zeros is strictly less than its order. This research work is an improvement and extension of two previous papers.

Originality/value – This is an original research work.

Keywords Entire functions, Exponent of convergence, Hyper-order, Linear c-shift operator, Shared set

Paper type Research paper

1. Introduction

By a meromorphic function f , we always mean that it is defined on \mathbb{C} . For such a meromorphic function, we recall some basic terminologies of value distribution theory such as the Nevanlinna characteristic function $T(r, f)$, the proximity function $m(r, f)$ and the counting function (reduced counting function) of a -points of f $N(r, \frac{1}{f-a}) = N(r, a; f)$ ($\bar{N}(r, \frac{1}{f-a}) = \bar{N}(r, a; f)$). For $a = \infty$, we use $N(r, f) = N(r, \infty; f)$ ($\bar{N}(r, f) = \bar{N}(r, \infty; f)$) to denote counting (reduced counting) function of poles of f (see [1]). With the help of the standard notations, we also would like to recall the following useful terms, namely exponent of convergence of zeros, order and hyper-order of f respectively defined as follows:

$$\lambda(f) = \limsup_{r \rightarrow \infty} \frac{\log N\left(r, \frac{1}{f}\right)}{\log r}, \quad \rho(f) = \limsup_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \quad \text{and} \quad \rho_2(f) = \limsup_{r \rightarrow \infty} \frac{\log \log T(r, f)}{\log r}.$$

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Usually, $S(r, f)$ denotes any quantity satisfying $S(r, f) = o(T(r, f))$ for all r outside of a possible exceptional set of finite linear measure. We denote by $S(f)$ the set of all meromorphic functions $a(z)$ such that $T(r, a(z)) = S(r, f)$ and $a(z)$ is called small function compared to $f(z)$. Let $a(z) \in S$ and S be a subset of $S(f) \cup \{\infty\}$ and $E_f(S) = \cup_{a(z) \in S} \{z: f(z) - a(z) = 0\}$, where each zero is counted according to its multiplicity. If we do not count the multiplicity, then the set $\cup_{a(z) \in S} \{z: f(z) - a(z) = 0\}$ is denoted by $\overline{E}_f(S)$.

If $E_f(S) = E_g(S)$ ($\overline{E}_f(S) = \overline{E}_g(S)$) we say that f and g share the set S CM or counting multiplicities (IM or ignoring multiplicities).

For a nonzero complex constant c , the shift operator of $f(z)$ is denoted by $f(z + c)$. The terms $\Delta_c f$ and $\Delta_c^k f$ will be used to denote the difference and k -th order difference operators of $f(z)$, defined respectively as

$$\Delta_c f(z) = f(z + c) - f(z), \Delta_c^k f(z) = \Delta_c(\Delta_c^{k-1} f(z)), \quad k \in \mathbb{N}, \quad k \geq 2.$$

We introduce the more generalized linear c -shift operator $L_c f$ by

$$L_c f = L_c(f)(z) = \sum_{j=0}^k a_j f(z + jc) \quad (\neq 0),$$

where $a_j \in \mathbb{C}$ for $j = 0, 1, 2, \dots, k$ with $a_k \neq 0$ ($k \geq 1$).

The uniqueness problem of entire functions sharing set with their derivatives, shifts, different types of difference operators has been developed as an interesting direction of research in the realm of value distribution theory. In 1999, Li-Yang [2] made a pioneer work by considering the relation between an entire function and its derivative sharing a set with two elements. Following their footsteps, in 2005, Li [3] investigated the same type of problem for linear differential operator. Four years later, Liu [4] exhibited a similar result for an entire function f and its shift sharing a set with two small functions.

Let us start the discussion with another result of Liu [4] concerning difference operator.

Theorem A. [4] *Let f be a transcendental entire function of finite order, and let a be a nonzero finite constant. If f and $\Delta_c f$ share the set $\{a, -a\}$ CM, then $\Delta_c f = f$.*

After that Liu [4] posed a significant question:

Question 1.1. *What happens if $\{a, -a\}$ is replaced by $\{a(z), b(z)\}$ in the above theorem, where $a(z), b(z) \in S(f)$ are nonvanishing periodic entire functions with period c ?*

Being motivated by this question, Li [5] investigated the following theorem in a different direction that evolved as a new trend. Actually, Li [5] first diverted the attention of the research germinated from Question 1.1, in terms of relation between exponent of convergence of zero and order. We recall the theorem by Li [5].

Theorem B. [5] *Let f be a nonconstant entire function such that $\lambda(f) < \rho(f) < \infty$, $\rho(f) \neq 1$, a, b be respectively two distinct entire functions such that $\rho(a) < \rho(f)$ and $\rho(b) < \rho(f)$. If f and $\Delta_c f$ share the set $\{a, b\}$ CM, then $\Delta_c f = f$ for all $z \in \mathbb{C}$.*

By an example we can show that the restriction $\rho(f) \neq 1$ in Theorem B can be removed.

Example 1.1. *Let $f(z) = e^{\frac{z \log 2}{c}}$. Then obviously $\Delta_c f = f$. Clearly f and $\Delta_c f$ share the set $\{a, b\}$ CM for two distinct entire functions a, b respectively such that $\rho(a) < \rho(f)$ and $\rho(b) < \rho(f)$ and also $0 = \lambda(f) < \rho(f) = 1$.*

After publication of Li's [5] result, there was a long gap in research in this direction. Recently in 2019, concerning finite-order entire function, Qi-Wang-Gu [6] removed the restriction $\rho(f) \neq 1$ in Theorem B. Not only that, they also ensured the particular form of f in the following manner:

Theorem C. [6] *Let f be a nonconstant entire function with $\lambda(f) < \rho(f) < \infty$, let a, b be respectively two distinct entire functions such that $\rho(a) < \rho(f), \rho(b) < \rho(f)$. If f and $\Delta_c f$ share the set $\{a, b\}$ CM, then $f(z) = Ae^{\mu z}$, where A, μ are two nonzero constants satisfying $e^{\mu c} = 2$. Furthermore, $\Delta_c f = f$.*

2. Main results

In our paper, we have extended and improved [Theorem C](#) in the following three directions:

- (1) We replace the difference operator by its linear c -shift operator to accommodate a larger class of operators, namely $L_c f$ that includes difference operator.
- (2) We consider an entire function of $\rho_2(f) < 1$ instead of considering the same of finite order.
- (3) We relax the nature of the shared set $\{a, b\}$ from CM to IM.

Thus, the following assertion extends and improves [Theorem C](#) in the way described above, and in fact it represents our main result in this paper.

Theorem 2.1. *Let f be a nonconstant entire function such that $\lambda(f) < \rho(f)$ with $\rho_2(f) < 1$ and let a, b be two distinct entire functions such that $\rho(a) < \rho(f)$ and $\rho(b) < \rho(f)$. Let f and $L_c f$ ($\neq 0$) share the set $\{a, b\}$ IM, then $L_c f = f$. In addition, if $b = -a$, then $L_c f = -f$. In both cases f takes the form $f(z) = Ah(z)e^{\mu z}$, where A is a nonzero constant, $h(z)$ is a polynomial and μ is a nonzero constant satisfying $\sum_{j=0}^k a_j e^{\mu c j} = 1$ and -1 respectively. Furthermore,*

- (1) *when $L_c f = f$, then one of the following can occur:*
 - *If $a_0 = 1$, then $k \geq 2$ and $\deg(h) \leq (k - 2)$;*
 - *If $a_0 \neq 1$, then $\deg(h) \leq (k - 1)$.*
- (2) *When $L_c f = -f$, then one of the following occur:*
 - *if $a_0 = -1$, then $k \geq 2$ and $\deg(h) \leq (k - 2)$;*
 - *if $a_0 \neq -1$, then $\deg(h) \leq (k - 1)$.*

Remark 2.1. *In the above theorem, if we choose $L_c f = \Delta_c f$, then $k = 1, a_1 = 1$ and $a_0 = -1$. Therefore conclusion (2) that means $\Delta_c f = -f$ is not possible. Thus from conclusion (1) we only have the form of the function as $f(z) = Ae^{\mu z}$, where A and μ are nonzero constants satisfying $e^{\mu c} = 2$ and also $\Delta_c f = f$ holds.*

The following examples will successively show that in the above theorem, respectively for the cases $k = 1, k = 2$ and $k = 3$, all possible forms of the function exist.

First we consider the case $k = 1$.

Example 2.1. *Let $f = Ae^{\mu z}, A \neq 0$. Choosing coefficients of $L_c f$ for $k = 1$ as $a_1 = \frac{1-a_0}{e^{\mu c}}$ and $a_0 \neq 1$ we have $L_c f = f$ and $\sum_{j=0}^1 a_j e^{\mu c j} = 1$. Next, choosing coefficients as $a_1 = \frac{-1-a_0}{e^{\mu c}}$ and $a_0 \neq -1$ we see that $L_c f = -f$ and $\sum_{j=0}^1 a_j e^{\mu c j} = -1$.*

Next we shall show that for $k = 2$, the forms of the function can be obtained.

Example 2.2. *Let $f = (Az + B)e^{\mu z}, A \neq 0$. Choosing coefficients of $L_c f$ for $k = 2$ as $a_2 = \frac{-(1-a_0)}{e^{2\mu c}}, a_1 = \frac{2(1-a_0)}{e^{\mu c}}$, one can easily check that $L_c f = f$ and $\sum_{j=0}^2 a_j e^{\mu c j} = 1$. On the other*

hand, choosing coefficients as $a_2 = \frac{1+a_0}{e^{2\mu c}}$, $a_1 = \frac{-2(1+a_0)}{e^{\mu c}}$, we easily can obtain $L_c f = -f$ and $\sum_{j=0}^2 a_j e^{\mu c j} = -1$.

Example 2.3. Consider the function f in Example 2.1. Choose coefficients of $L_c f$ for $k = 2$ as $a_2 = \frac{-4}{e^{2\mu c}}$, $a_1 = \frac{3}{e^{\mu c}}$, $a_0 = 2$, then we have $L_c f = f$ and $\sum_{j=0}^2 a_j e^{\mu c j} = 1$. Next, choosing coefficients as $a_2 = \frac{-6}{e^{2\mu c}}$, $a_1 = \frac{3}{e^{\mu c}}$, $a_0 = 2$, we see that $L_c f = -f$ and $\sum_{j=0}^2 a_j e^{\mu c j} = -1$.

For $k = 3$, all possible forms of the function are shown below.

Example 2.4. Let $f = (Az^2 + Bz + C)e^{\mu z}$, $A \neq 0$. Choosing coefficients of $L_c f$ for $k = 3$ as $a_3 = \frac{1-a_0}{e^{3\mu c}}$, $a_2 = \frac{-3(1-a_0)}{e^{2\mu c}}$, $a_1 = \frac{3(1-a_0)}{e^{\mu c}}$, one can easily check that $L_c f = f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = 1$. Also, choosing coefficients as $a_3 = \frac{-1-a_0}{e^{3\mu c}}$, $a_2 = \frac{-3(-1-a_0)}{e^{2\mu c}}$, $a_1 = \frac{3(-1-a_0)}{e^{\mu c}}$, one can easily check that $L_c f = -f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = -1$.

Example 2.5. Consider the function f as in Example 2.2 and choosing the coefficients of $L_c f$ as $a_3 = \frac{5}{e^{3\mu c}}$, $a_2 = \frac{9}{e^{2\mu c}}$, $a_1 = \frac{3}{e^{\mu c}}$, $a_0 = 2$, we can have $L_c f = f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = 1$. On the other hand, choosing coefficients as $a_3 = \frac{9}{e^{3\mu c}}$, $a_2 = \frac{-15}{e^{2\mu c}}$, $a_1 = \frac{3}{e^{\mu c}}$, $a_0 = 2$, we can get $L_c f = -f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = -1$.

Example 2.6. Consider the function f in Example 2.1. Choosing coefficients of $L_c f$ for $k = 3$ as $a_3 = \frac{-2}{e^{3\mu c}}$, $a_2 = \frac{4}{e^{2\mu c}}$, $a_1 = \frac{-3}{e^{\mu c}}$, $a_0 = 2$, clearly $L_c f = f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = 1$. On the other hand, choosing coefficients as $a_3 = \frac{-4}{e^{3\mu c}}$, $a_2 = \frac{4}{e^{2\mu c}}$, $a_1 = \frac{-3}{e^{\mu c}}$, $a_0 = 2$, we easily get $L_c f = -f$ and $\sum_{j=0}^3 a_j e^{\mu c j} = -1$.

Similar examples can be constructed for the case $k \geq 4$ also.

Remark 2.2. In Examples 2.4, 2.5, let us take $L_c f = \Delta_c^3 f$. Choosing $e^{\mu c} = 2$ we see that though $\sum_{j=0}^3 a_j e^{\mu c j} = (e^{\mu c} - 1)^3 = 1$ but $\Delta_c^3 f \neq f$. In a similar manner, for the function in Examples 2.4, 2.5, choosing $e^{\mu c} = \frac{3+\sqrt{3}i}{2}$ we get $\sum_{j=0}^3 a_j e^{\mu c j} = (e^{\mu c} - 1)^3 = -1$ but $\Delta_c^3 f \neq -f$. But in Example 2.6, choosing $e^{\mu c}$ such that $\sum_{j=0}^3 a_j e^{\mu c j} = 1$ or -1 , we automatically have the respective conclusions $\Delta_c^3 f = f$ or $\Delta_c^3 f = -f$. From this observation naturally one can infer that the case $L_c f = \Delta_c^k f$ needs special attention. In fact, we can conjecture that the degree of h could be zero that means h will be a nonzero constant.

In this respect, in Theorem 2.1 replacing $L_c f$ by $\Delta_c^k f$ we can get the next corollary.

Corollary 2.1. Under the same assumptions of Theorem 2.1 for the operator $\Delta_c^k f$ we have $\Delta_c^k f = f$. In addition, if $b = -a$ and $k \geq 2$, then $\Delta_c^k f = -f$. In both cases f takes the form $f(z) = Be^{\mu z}$, where B and μ are nonzero constants and μ satisfies $\sum_{j=0}^k (-1)^{k-j} \binom{k}{j} e^{\mu c j} = 1$ and -1 respectively.

Next we provide two examples to show that $\rho_2 < 1$ is sharp.

Example 2.7. Let $f = e^{\frac{\mu z}{c}} e^{\frac{2\mu iz}{c}}$. Here $\lambda(f) < \rho(f)$ and $\rho_2(f) = 1$. For a suitable choices of coefficients one can obtain $L_c f = -e^{\frac{\mu z}{c}} e^{\frac{2\mu iz}{c}}$. For example, for even integer k , choose $a_k + \dots + a_2 + a_0 = 0$ and $a_{k-1} + \dots + a_3 + a_1 = 1$. Clearly f and $L_c f$ share the set $\{a, -a\}$ CM, where a is an entire function such that $\rho(a) < \rho(f)$. Though $L_c f = -f$, the form of f does not satisfy the conclusion of our theorem.

Example 2.8. Let $f = e^{\frac{az}{z^k}}$. Here $\lambda(f) < \rho(f)$ and $\rho_2(f) = 1$. For a suitable choices of coefficients, one can easily obtain $L_c f = e^{-\frac{az}{z^k}}$. For example, for even integer k , choose $a_k + \dots + a_2 + a_0 = 0$ and $a_{k-1} + \dots + a_3 + a_1 = 1$. Clearly f and $L_c f$ share the set $\{\sqrt{a} + \sqrt{b}, \sqrt{a} - \sqrt{b}\}$ CM, where a, b are two complex constants such that $a - b = 1$. Then neither $L_c f = \pm f$ nor the form of f satisfies the conclusion of our theorem.

3. Preparatory lemmas

In this section, some useful lemmas are quoted from references [1, 7, 9–12], which will be needed in the sequel.

Lemma 3.1. [9] Let $T: [0, +\infty) \rightarrow [0, +\infty)$ be a nondecreasing continuous function, and let $s \in (0, +\infty)$. If the hyper-order of T is strictly less than 1, that is,

$$\limsup_{r \rightarrow \infty} \frac{\log \log T(r)}{\log r} = \rho_2 < 1,$$

and $\delta \in (0, 1 - \rho_2)$, then

$$T(r+s) = T(r) + o\left(\frac{T(r)}{r^\delta}\right),$$

where r runs to infinity outside of a set of finite logarithmic measures.

Lemma 3.2. [9] Let $f(z)$ be a meromorphic function of $\rho_2(f) < 1$ and $c \in \mathbb{C} \setminus \{0\}$. Then

$$m\left(r, \frac{f(z+c)}{f(z)}\right) = o\left(\frac{T(r, f)}{r^{1-\rho_2-\epsilon}}\right).$$

Using the above two basic lemmas due to [9], we have the next lemma.

Lemma 3.3. Let $f(z)$ be a meromorphic function of $\rho_2(f) < 1$ and $c \in \mathbb{C} \setminus \{0\}$. Then for any $\epsilon > 0$,

$$m\left(r, \frac{f(z+ic)}{f(z+jc)}\right) = o\left(\frac{T(r, f)}{r^{1-\rho_2-\epsilon}}\right).$$

Using Lemma 3.1, by a simple alteration of the result for finite-order meromorphic functions in [8], one can have the following lemma.

Lemma 3.4. Let $f(z)$ be a meromorphic function of $\rho_2(f) < 1$, then we have

$$N(r, f(z+c)) = N(r, f) + S(r, f)$$

and

$$T(r, f(z+c)) = T(r, f) + S(r, f).$$

Lemma 3.5. [7] Let f be a transcendental meromorphic function in the plane of order less than 1. Let $h > 0$. Then there exists an ϵ -set E such that

$$\frac{g(z+c)}{g(z)} \rightarrow 1, \text{ when } z \rightarrow \infty \text{ in } \mathbb{C} \setminus E,$$

uniformly in c for $|c| \leq h$.

Lemma 3.6. [1, 10] Let $f(z)$ be a transcendental meromorphic solution of equation

$$f^n A(z, f) = B(z, f),$$

where $A(z, f)$, $B(z, f)$ are polynomials in f and its derivatives with meromorphic coefficients, say $\{a_\lambda; \lambda \in I\}$, such that $m(r, a_\lambda) = S(r, f)$ for all $\lambda \in I$. If the total degree of $B(z, f)$ is $\leq n$, then

$$m(r, A(z, f)) = S(r, f).$$

Lemma 3.7. (see [12], Theorem 1.51) Suppose that $f_i(z)$ ($i = 1, 2, \dots, n$) and $g_i(z)$ ($i = 1, 2, \dots, n$) ($n \geq 2$) are entire functions satisfying

- (1) $\sum_{i=1}^n f_i(z) e^{g_i(z)} \equiv 0$,
- (2) $g_j(z) - g_k(z)$ are not constants for $1 \leq j < k < n$,
- (3) for $1 \leq i \leq n$, $1 \leq k < l \leq n$,

$$T(r, f_i) = o\{T(r, e^{g_k - g_l})\} \quad (r \rightarrow \infty, r \notin E).$$

Then $f_i(z) \equiv 0$ ($i = 1, 2, \dots, n$).

Now we recall the following lemma due to Lu-Lu-Li-Xu (see [11], Corollary 3.2).

Lemma 3.8. [11] Let $g (\neq 0)$ be a nonconstant meromorphic solution of the linear difference equation

$$\sum_{i=0}^k b_i g(z + ic) = R(z), \tag{3.1}$$

where $R(z)$ is a polynomial and b_i 's for $i = 0, 1, \dots, k$ are complex constants with $b_k b_0 \neq 0$, $c \in \mathbb{C} \setminus \{0\}$ and $k \in \mathbb{N}$. Then either $\rho(g) \geq 1$ or g is a polynomial. In particular if $b_k \neq \pm b_0$, then $\rho(g) \geq 1$.

4. Proof of the main theorem

Proof of Theorem 2.1. According to our assumption $\lambda(f) < \rho(f)$ and by Hadamard factorization theorem, let us assume that $f(z) = h(z)e^{\eta(z)}$, where $h(z) (\neq 0)$ is an entire function and $\eta(z)$ is a nonconstant entire function satisfying

$$\lambda(f) = \rho(h) < \rho(f), \quad \rho(e^\eta) = \rho(f), \quad \rho(\eta) = \rho_2(e^\eta) = \rho_2(f) < 1.$$

Therefore $T(r, h) = S(r, f)$ and $S(r, e^\eta) = S(r, f) = S(r)$. Here,

$$T(r, f) \leq T(r, h) + T(r, e^\eta) \leq T(r, e^\eta) + S(r). \tag{4.1}$$

Let $q(z) = \frac{L_{\sigma} f}{\sigma^n}$. Clearly $q \neq 0$. Then placing $f(z) = h(z)e^{\eta(z)}$, in view of Lemma 3.4, we can deduce that

$$\begin{aligned} T(r, q) = m(r, q) &= m\left(r, \frac{L_c(h(z)e^{\eta(z)})}{e^{\eta(z)}}\right) = m\left(r, \sum_{j=0}^k a_j h(z+jc)e^{\eta(z+jc)-\eta(z)}\right) \\ &\leq \sum_{j=0}^k m\left(r, \frac{e^{\eta(z+jc)}}{e^{\eta(z)}}\right) + S(r) \\ &= S(r, e^\eta) = S(r). \end{aligned}$$

Since q and h are not equivalent to zero, one can easily write

$$\frac{(qe^\eta - a)(qe^\eta - b)}{(he^\eta - a)(he^\eta - b)} = \frac{q^2\left(e^\eta - \frac{a}{q}\right)\left(e^\eta - \frac{b}{q}\right)}{h^2\left(e^\eta - \frac{a}{h}\right)\left(e^\eta - \frac{b}{h}\right)}. \quad (4.2)$$

Applying the Second Fundamental Theorem for small functions [1] on e^η and then applying the First Fundamental Theorem [1] on $e^\eta - \omega$, we can obtain that

$$T(r, e^\eta) = \bar{N}\left(r, \frac{1}{e^\eta - \omega}\right) + S(r), \quad (4.3)$$

where $\omega (\neq 0)$ is a small function of e^η .

Here $a \neq b$. Without loss of generality, let us assume that $a \neq 0$. Let z_0 be a zero of $e^\eta - \frac{a}{h}$ but $q(z_0) \neq 0$. Since f and $L_c f$ that means he^η and qe^η share the set $\{a, b\}$ IM, so in view of (4.2), z_0 is a zero of $e^\eta - \frac{a}{q}$ or $e^\eta - \frac{b}{q}$. Let us denote by $\bar{N}(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{a}{q})$ the reduced counting function of those common zeros of $e^\eta - \frac{a}{h}$ and $e^\eta - \frac{a}{q}$ which are not zeros of q . Similarly, we denote by $\bar{N}(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{b}{q})$ the reduced counting function of those common zeros of $e^\eta - \frac{a}{h}$ and $e^\eta - \frac{b}{q}$ which are not zeros of q . Therefore from (4.3) we have,

$$\begin{aligned} T(r, e^\eta) &= \bar{N}\left(r, \frac{1}{e^\eta - \frac{a}{h}}\right) + S(r) \\ &= \bar{N}\left(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{a}{q}\right) + \bar{N}\left(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{b}{q}\right) + S(r), \end{aligned}$$

which shows that either $\bar{N}(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{a}{q}) \neq S(r)$ or $\bar{N}(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{b}{q}) \neq S(r)$. Otherwise $T(r, e^\eta) = S(r)$. This is not possible because in view of (4.1), we can draw a contradiction. Now, we consider two cases:

Case 1. Suppose $\bar{N}(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{a}{q}) \neq S(r)$. For sake of convenience, we resolve the case step by step.

Step 1. In this step we will show that $L_c f \equiv f$.

Let z_1 is a zero of $e^\eta - \frac{a}{h}$ and $e^\eta - \frac{a}{q}$. It is obvious that z_1 is a zero of $\frac{a}{h} - \frac{a}{q}$. If $\frac{a}{h} - \frac{a}{q} \neq 0$, then

$$\bar{N}\left(r, 0; e^\eta - \frac{a}{h}, e^\eta - \frac{a}{q}\right) \leq \bar{N}\left(r, \frac{1}{\frac{a}{h} - \frac{a}{q}}\right) = S(r),$$

which is a contradiction. Therefore $h = q$ that implies $L_c f = f$.

Step 2. In this step we show that $\eta(z)$ is a polynomial.

Expanding $L_c f = f$ we can write

$$\sum_{j=0}^k a_j h(z + jc) e^{\eta(z+jc)} = h(z) e^{\eta(z)}. \tag{4.4}$$

Choosing $b_j = a_j h(z + jc)$ for $j = 1, 2, \dots, k$ and $b_0 = (a_0 - 1)h(z)$ we get $\sum_{j=0}^k b_j e^{\eta(z+jc)} = 0$. Clearly $\rho(b_l) = \rho(h(z + lc)) = \rho(h) < \rho(f)$ for all $l = 0, 1, \dots, k$. So, b_l 's are finite-order entire functions. We claim that $\rho(e^{\eta(z + ic) - \eta(z + jc)}) < \infty$, for at least one pair of i, j , such that $0 \leq i < j \leq k$. On the contrary, let us suppose, for all $0 \leq i < j \leq k$, $\rho(e^{\eta(z + ic) - \eta(z + jc)}) = \infty$. Then $T(r, b_l) = o\{T(r, e^{\eta(z + jc) - \eta(z + ic)})\}$, for $0 \leq l \leq k$ and $0 \leq i < j \leq k$. Hence by Lemma 3.7, $b_l \equiv 0$ for all $l = 0, 1, \dots, k$, which is not possible. Thereby $\rho(e^{\eta(z + ic) - \eta(z + jc)}) < \infty$ implies that $\eta(z + ic) - \eta(z + jc)$ is a polynomial. Let the degree of $\eta(z + ic) - \eta(z + jc)$ be m . So, $\eta^{(m+1)}(z + ic) - \eta^{(m+1)}(z + jc) = 0$ that means $\eta^{(m+1)}(z + ic)$ is periodic entire function of period $(j - i)c$. If $\eta^{(m+1)}(z + ic)$ is nonconstant, then obviously $\rho(\eta^{(m+1)}(z + ic)) \geq 1$, which yields $\rho(\eta) = \rho(\eta(z + ic)) = \rho(\eta^{(m+1)}(z + ic)) \geq 1$. But $\rho(\eta) < 1$, a contradiction. Hence $\eta^{(m+1)}(z + ic)$ is a constant and so $\eta^{(m+1)}(z)$ is constant, which implies $\eta(z)$ is polynomial.

Step 3. In this step we wish to show that the degree of $\eta(z)$ is 1.

On the contrary, suppose the $\deg(\eta(z)) = n(sa_y) \geq 2$. Then for $j = 1, 2, \dots, k$,

$$e^{\eta(z+jc) - \eta(z)} = e^{jnc_n z^{n-1} + Q_j(z)},$$

where $Q_j(z)$ is a $(n - 2)$ -th degree polynomial and c_n is the leading coefficient of $\eta(z)$. Let $g = e^{cnc_n z^{n-1}}$. So, for $j = 1, 2, \dots, k$, $e^{\eta(z+jc) - \eta(z)} = g^j e^{Q_j(z)}$. Clearly $T(r, e^{Q_j - Q_k}) = S(r, g)$ for all $j = 1, 2, \dots, k - 1$ and $T(r, e^{-Q_k}) = S(r, g)$. Here we will draw a contradiction by deducing $T(r, g) = S(r, g)$. Rewriting (4.4) we have

$$\sum_{j=1}^k a_j \frac{h(z + jc)}{h(z)} e^{Q_j(z)} g^j = 1 - a_0.$$

i.e.

$$g^{k-1} g = \frac{1 - a_0}{a_k} \frac{h(z)}{h(z + kc)} e^{-Q_k(z)} - \sum_{j=1}^{k-1} \frac{a_j}{a_k} \frac{h(z + jc)}{h(z + kc)} e^{Q_j(z) - Q_k(z)} g^j. \tag{4.5}$$

As $\eta(z)$ is a polynomial, so $\rho_2(h) \leq \rho_2(e^\eta) = \rho(\eta) = 0$, which implies $\rho_2(h) = 0$. Since $\rho(h) - 1 < \rho(f) - 1 = \rho(e^\eta) - 1 = n - 1 = \rho(g)$, so by Lemma 3.3, for any $\epsilon > 0$, $m\left(r, \frac{h(z+jc)}{h(z+kc)}\right) = o\left(\frac{T(r, h)}{r^{1-\epsilon}}\right) = S(r, g)$, $j = 0, 1, \dots, k - 1$.

Let $H(z, g) = \sum_{j=0}^{k-1} C_j g^j$, where $C_j = \frac{a_j}{a_k} \frac{h(z+jc)}{h(z+kc)} e^{Q_j(z) - Q_k(z)}$ for $j = 1, 2, \dots, k - 1$ and $C_0 = \frac{1 - a_0}{a_k} \frac{h(z)}{h(z+kc)} e^{-Q_k(z)}$. Thus, (4.5) can be written as $g^{k-1} g = H(z, g)$. Clearly total degree of $H(z, g)$ is at most $k - 1$ and $m(r, C_j) = S(r, g)$ for $j = 0, 1, \dots, k - 1$. Hence by Lemma 3.6, $m(r, g) = S(r, g)$ that means $T(r, g) = S(r, g)$, a contradiction. Therefore $\deg(\eta(z)) = 1$. Let us assume that $\eta(z) = \mu z + C$, where μ and C be two nonzero constants.

Step 4. In this step we deduce a necessary condition and actual form of the function.

Putting $\eta(z) = \mu z + C$ in $f(z) = h(z)e^{\eta(z)}$, we have $f(z) = Ah(z)e^{\mu z}$, where $A = e^C$ is a nonzero constant. Now, applying this, (4.4) can be written as

$$\sum_{j=0}^k a_j h(z + jc)(e^{\mu c})^j = h(z). \quad (4.6)$$

i.e.

$$\sum_{j=0}^k a_j \frac{h(z + jc)}{h(z)} (e^{\mu c})^j = 1.$$

Since $\rho(h) < \rho(f) = \rho(e^{\mu z}) = 1$, so by Lemma 3.5, there exist ϵ -set E , as $z \notin E$ and $z \rightarrow \infty$, such that $\frac{h(z+jc)}{h(z)} \rightarrow 1$. Thereby,

$$\sum_{j=0}^k a_j e^{\mu c j} = 1. \quad (4.7)$$

Since $\rho(h) < 1$, so by Lemma 3.8, we know that $h(z)$ is a polynomial. Let us assume that $h(z) = cz^l + c_{l-1}z^{l-1} + \dots + c_1z + c_0$. Putting it into (4.6) and then comparing coefficients and doing a simple calculation, we have (4.7) and

$$\begin{aligned} l \sum_{j=1}^k j a_j e^{\mu c j} &= 0, & (l-1) \sum_{j=1}^k j^2 a_j e^{\mu c j} &= 0, \\ (l-2) \sum_{j=1}^k j^3 a_j e^{\mu c j} &= 0, \dots, & (l-(l-1)) \sum_{j=1}^k j^l a_j e^{\mu c j} &= 0. \end{aligned}$$

Without loss of generality, we assume that all a_i 's for $i = 1, 2, \dots, k$ are nonzero. Now, the above system of equations can be written as

$$A_1 X = B, \quad (4.8)$$

where $A_1 = \begin{pmatrix} 1 & 1 & 1 \dots 1 \\ 1 & 2 & 3 \dots k \\ 1^2 & 2^2 & 3^2 \dots k^2 \\ \dots & \dots & \dots \\ 1^l & 2^l & 3^l \dots k^l \end{pmatrix}_{(l+1) \times k}$, $X = \begin{pmatrix} a_1 e^{\mu c} \\ a_2 e^{2\mu c} \\ a_3 e^{3\mu c} \\ \dots \\ a_k e^{k\mu c} \end{pmatrix}_{k \times 1}$ and $B = \begin{pmatrix} 1-a_0 \\ 0 \\ 0 \\ \dots \\ 0 \end{pmatrix}_{(l+1) \times 1}$.

Let C be the corresponding augmented matrix. It is obvious that $rank(A_1) = \min\{l+1, k\}$. Clearly $rank(C) = \min\{l+1, k+1\}$.

Suppose $a_0 \neq 1$. So the nonhomogeneous system (4.8) has unique solution when $l = k - 1$, infinitely many solutions when $l < k - 1$ and no solutions when $l > k - 1$. Hence $\deg(h) \leq (k - 1)$.

Next suppose $a_0 = 1$. Then for $k = 1$, $L_c f = f$ and (4.7) both implies $a_1 = 0$, which is not possible. So in this case obviously $k \geq 2$. Now, the homogeneous system $A_1 X = 0$ has solutions when $l \leq k - 2$. Thus, we have our desired Conclusion 1.

Case 2. Suppose $\overline{N}\left(r, 0; e^{\eta - \frac{a}{h}}, e^{\eta - \frac{b}{q}}\right) \neq S(r)$. Let z_2 is a zero of $e^{\eta - \frac{a}{h}}$ and $e^{\eta - \frac{b}{q}}$. It is obvious that z_2 is a zero of $\frac{a}{h} - \frac{b}{q}$. If $\frac{a}{h} - \frac{b}{q} \neq 0$, then

$$\overline{N}\left(r, 0; e^n - \frac{a}{h}, e^n - \frac{b}{q}\right) \leq \overline{N}\left(r, \frac{1}{\frac{a}{h} - \frac{b}{q}}\right) = S(r),$$

which is a contradiction. Therefore

$$\frac{a}{h} = \frac{b}{q}. \tag{4.9}$$

Since $a \neq 0$, therefore $b \neq 0$. Let z_3 be a zero of $e^n - \frac{b}{h}$ but $q(z_0) \neq 0$. Since he^n and qe^n share the set $\{a, b\}$ IM, so z_3 is a zero of $e^n - \frac{a}{q}$ or $e^n - \frac{b}{q}$. Let us denote by $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{a}{q})$ the reduced counting function of those common zeros of $e^n - \frac{b}{h}$ and $e^n - \frac{a}{q}$ which are not zeros of q . similarly, we denote by $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{b}{q})$ the reduced counting function of those common zeros of $e^n - \frac{b}{h}$ and $e^n - \frac{b}{q}$ which are not zeros of q . Therefore from (4.3) we have,

$$\begin{aligned} T(r, e^n) &= \overline{N}\left(r, \frac{1}{e^n - \frac{b}{h}}\right) + S(r) \\ &= \overline{N}\left(r, 0; e^n - \frac{b}{h}, e^n - \frac{a}{q}\right) + \overline{N}\left(r, 0; e^n - \frac{b}{h}, e^n - \frac{b}{q}\right) + S(r), \end{aligned}$$

which shows that either $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{a}{q}) \neq S(r)$ or $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{b}{q}) \neq S(r)$. Otherwise $T(r, e^n) = S(r)$, which is not possible in view of (4.1). Now, we consider two subcases:

Subcase 2.1. Suppose $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{a}{q}) \neq S(r)$. Then proceeding in a similar manner as used in starting portion of Case 2, we have $\frac{b}{h} = \frac{a}{q}$. In view of (4.9) we get, $a^2 = b^2$. As $a \neq b$, so obviously $b = -a$. Therefore we must have $q = -h$ that implies $L_c f = -f$. Further following the same steps as done in Case 1, we can have the form of the function as $f(z) = Ah(z)e^{\mu z}$ satisfying

$$\sum_{j=0}^k a_j e^{\mu c_j} = -1. \tag{4.10}$$

Next adopting the similar calculations as done in Step 4, for $a_0 \neq -1$, we have $\deg(h) \leq (k - 1)$ and for $a_0 = -1$, we have $k \geq 2$ and $\deg(h) \leq (k - 2)$. Thus, we have corresponding desired conclusion (2).

Subcase 2.2. Suppose $\overline{N}(r, 0; e^n - \frac{b}{h}, e^n - \frac{b}{q}) \neq S(r)$, which is similar to the Case 1 and so, we get the desired result.

Hence the proof is completed. □

Proof of Corollary 2.1. To prove this corollary, it is sufficient to prove that $\deg(h) = 0$, where $h(z) = c_l z^l + c_{l-1} z^{l-1} + \dots + c_1 z + c_0$ ($l \leq k - 1$), $c_l \neq 0$. We know for the operator $\Delta_c^k f$, $a_j = (-1)^{k-j} \binom{k}{j}$, where $j = 0, 1, \dots, k$.

From conclusion 1. of Theorem 2.1 we have, $\Delta_c^k f = f$ and f takes the form $f = Ah(z)e^{\mu z}$, where A is a nonzero constant, $h(z)$ is a polynomial and μ is a nonzero constant satisfying $\sum_{j=0}^k (-1)^{k-j} \binom{k}{j} e^{\mu c_j} = 1$, that is, $(e^{\mu c} - 1)^k = 1$. Now putting $f = A(c_l z^l + c_{l-1} z^{l-1} + \dots + c_1 z + c_0)e^{\mu z}$ into $\Delta_c^k f = f$ and then comparing coefficient of z^{k-1} , we have

$$\begin{aligned} \sum_{j=1}^k (lc_j c + c_{l-1}) (-1)^{k-j} \binom{k}{j} e^{\mu c j} &= c_{l-1} \\ \Rightarrow lc_j c \sum_{j=1}^k j (-1)^{k-j} \binom{k}{j} e^{\mu c j} + c_{l-1} (e^{\mu c} - 1)^k &= c_{l-1}. \end{aligned}$$

As $c \neq 0$, $c_l \neq 0$ and $(e^{\mu c} - 1)^k = 1$ and also we know $j \binom{k}{j} = k \binom{k-1}{j-1}$, so we get

$$\begin{aligned} l \sum_{j=1}^k (-1)^{k-j} k \binom{k-1}{j-1} e^{\mu c j} &= 0 \\ \Rightarrow l \sum_{j=0}^{k-1} (-1)^{(k-1)-j} \binom{k-1}{j} e^{\mu c j} &= 0 \Rightarrow l (e^{\mu c} - 1)^{k-1} = 0. \end{aligned} \tag{4.11}$$

Clearly in view of $(e^{\mu c} - 1)^k = 1$, l must be 0.

From conclusion 2. of [Theorem 2.1](#) we have, $b = -a$, $\Delta_c^k f = -f$ and f takes the form $f = Ah(z)e^{\mu z}$, where A is a nonzero constant, $h(z)$ is a polynomial and μ is a nonzero constant satisfying $\sum_{j=0}^k (-1)^{k-j} \binom{k}{j} e^{\mu c j} = -1$, that is, $(e^{\mu c} - 1)^k = -1$. Here obviously $k \geq 2$. Proceeding in a similar manner as in above, again we can have (4.11) and in view of $(e^{\mu c} - 1)^k = -1$, we can conclude $l = 0$. So in both cases $l = 0$ that means $\deg(h) = 0$. Hence the corollary is proved. \square

5. Observation and an open question

As we know $\lambda(f) \leq \rho(f)$ and since throughout the paper we have dealt with the case $\lambda(f) < \rho(f)$, it will be interesting to inspect whether the same conclusions hold for the case $\lambda(f) = \rho(f)$. In the next two examples we point out the fact that when $\lambda(f) = \rho(f)$, the conclusion of [Theorem 2.1](#) ceases to hold.

Example 5.1. Let $f = e^z(e^{2z} + 1)$. Choose $c = \pi i$ and for even integer k , $a_k + \dots + a_2 + a_0 = 0$ and $a_{k-1} + \dots + a_3 + a_1 = 1$. Then $\lambda(f) = \rho(f) = 1$ and $L_c f = -e^z(e^{2z} + 1)$. Clearly $L_c f$ and f share the set $\{a, -a\}$ CM, where a is an entire function such that $\rho(a) < \rho(f)$. Though $L_c f = -f$, the form of f does not satisfy the conclusion of [Theorem 2.1](#).

Example 5.2. Let $f = -e^z + 3$ and $(e^c - 1)^2 = -1$. Then $\Delta_c^2 f = e^z$ and $\lambda(f) = \rho(f) = 1$. Clearly $\Delta_c^2 f$ and f share the set $\{1, 2\}$ CM but $\Delta_c^2 f \neq \pm f$.

In view of the above two examples, we can conclude that in [Theorem 2.1](#), $\lambda(f) < \rho(f)$ is sharp, but the conclusion of the same theorem under the case $\lambda(f) = \rho(f)$ is still an enigma. So we place it as an open question:

Question 5.1. Under the hypothesis $\lambda(f) = \rho(f)$, what will be the answer of the [Question 1.1](#) concerning $\Delta_c^k f$ or even $L_c f$?

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Corresponding author

Arpita Roy can be contacted at: arpita140793@gmail.com