

Appendix

Regulatory Bodies in Main Jurisdictions*

Australia

Australia Prudential Regulation Authority (APRA)
Australian Securities and Investment Commission (ASIC)

Belgium

Financial Services and Markets Authority (FSMA)
National Bank of Belgium (NBB)

Canada

Office of the Superintendent of Financial Institutions (OSFI)

Cayman Islands

Monetary Regulatory and Advisory Body of the Cayman Islands (CIMA)

China

China Banking Regulatory Commission (CBRC)
China Insurance Regulatory Commission (CIRC)
China Securities Regulatory Commission (CSRC)

Denmark

Financial Supervisory Authority (Finanstilsynet)

France

Autorite' des marches financiers (AMF)

*For fuller treatment of the issue of *single vs multipeak regulatory structures* in various jurisdictions, *vide* Fabri, D. (2006). A single unified supervisory authority for financial services in Malta and beyond: Some legal and regulatory issues. In *Id-Dritt* (pp. 251–280). Malta: UoM Law Students Society; and Engen, T., & Lim, B. K. (2015). After market crash China mulls single super regulator. *Times of Malta*, November 18.

Germany

Federal Financial Supervisory Authority (BAFIN)

India

Forward Market Commission (FMC)

Insurance Regulatory and Development Authority of India (IRDA)

Ministry of Corporate Affairs (MCA)

Pension Fund Regulatory and Development Authority (PFRDA)

Reserve Bank of India (RBI)

Securities and Exchange Board of India (SEBI)

Ireland

Central Bank of Ireland (CBI)

Dublin Financial Services Authority (DFSA)

Italy

Commissione Nazionale per le Società e le Borse (CONSOB)

Institute for the Supervision of Insurance (IVASS)

Japan

Financial Services Agency (FSA)

Luxembourg

Commissariat aux Assurances (CAA)

Commission de Surveillance du Secteur Financier (CSSF)

New Zealand

Financial Markets Authority (FMA)

Norway

Financial Supervisory Authority of Norway (Finanstilsynet)

Portugal

Portuguese Insurance Regulator (ASF)

Portuguese Securities Market Commission (CMVM)

Russia

Central Bank of Russia

Singapore

Monetary Authority of Singapore (MAS)

South Africa

Financial Services Board
National Credit Regulator
South African Reserve Board

Spain

Banco de Espana (Banking Sector Regulator)
Direction General de Seguros (DGS)
Spanish Securities Market Commission

Sweden

Financial Services Authority (Finansinspektionem)

Switzerland

Swiss Financial Market Supervisory Authority (FINMA)
Swiss National Bank
Six Swiss Exchange

United Kingdom

Bank of England (BoE)
Financial Conduct Authority (FCA)
Prudential Regulation Authority (PRA)

USA

Vide Fig. 1.2.